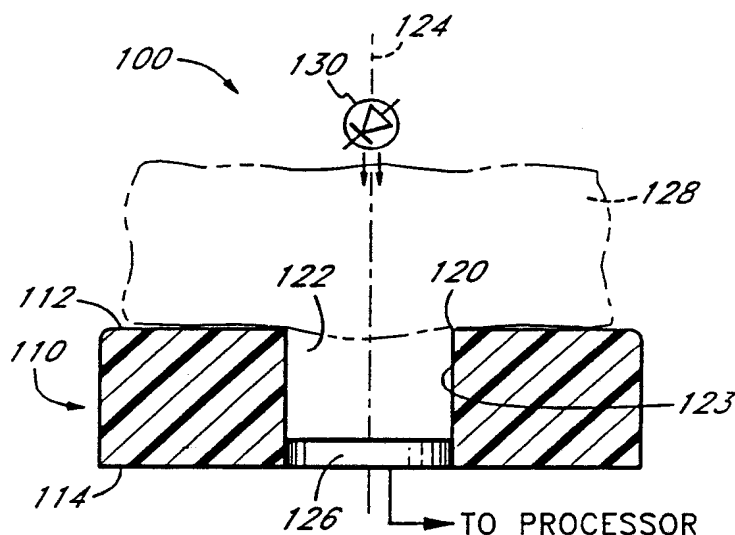




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| <b>(21) International Application Number:</b> PCT/US92/01894<br><b>(22) International Filing Date:</b> 5 March 1992 (05.03.92)<br><b>(30) Priority data:</b><br>672,890                      21 March 1991 (21.03.91)                      US<br><b>(71) Applicant:</b> VITAL SIGNALS, INC. [US/US]; 26062 Merit Circle #111, Laguna Hills, CA 92653 (US).<br><b>(72) Inventors:</b> DIAB, Mohamed, K. ; 29773 Niguel Road #C, Laguna Niguel, CA 92677 (US). KIANI-AZARBAYJANY, Esmail ; 35 Brindisi, Laguna Niguel, CA 92677 (US).<br><b>(74) Agent:</b> FISCHER, Morland, C.; Hawes & Fischer, 660 Newport Center Drive, #460, Newport Beach, CA 92660 (US). |           | <b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), BF (OAPI patent), BJ (OAPI patent), BR, CA, CF (OAPI patent), CG (OAPI patent), CH (European patent), CI (OAPI patent), CM (OAPI patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GA (OAPI patent), GB (European patent), GN (OAPI patent), GR (European patent), IT (European patent), JP, KR, LU (European patent), MC (European patent), ML (OAPI patent), MR (OAPI patent), NL (European patent), RU, SE (European patent), SN (OAPI patent), TD (OAPI patent), TG (OAPI patent).<br><br><b>Published</b><br><i>With international search report.<br/>         Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> |

**(54) Title:** LOW NOISE OPTICAL PROBE**(57) Abstract**

An optical probe (100) which is particularly suited to reduce noise in measurements taken on an easily compressible material, such as a finger, a toe, a forehead, an earlobe, or a lip. The probe includes a base (110) having an aperture (120) which leads to a chamber (122). The base is placed adjacent a portion of the material, the chamber being placed directly adjacent any easily compressible portion of the material. A photodetector (126) is located within the chamber and does not contact the material. A light emitting diode (LED) (130) is affixed to the material, opposite the photodetector and above the chamber. The material which is supported by the aperture and therefore rests above or has intruded into the chamber is inhibited from compression since nothing comes in contact with this portion of the material, even when the material moves. Thus, light from the LED is directed through a stabilized portion of the material, such that the optical path length through which light travels is stabilized.

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**LOW NOISE OPTICAL PROBE****TECHNICAL FIELD**

The present invention relates to the sensing of energy. More specifically, the present invention relates to the reduction of noise in signals via an improved sensing mechanism.

## BACKGROUND ART

Energy is often transmitted through or reflected from a medium to determine characteristics of the medium. For example, in the medical field, instead of extracting material from a patient's body for testing, light or sound energy may be caused to be incident on the patient's body and transmitted (or reflected) energy may be measured to determine information about the material through which the light has passed. This type of non-invasive measurement is more comfortable for the patient and can be performed more quickly.

Non-invasive physiological monitoring of bodily function is often required. For example, during surgery, blood pressure and the body's available supply of oxygen, or the blood oxygen saturation, are often monitored. Measurements such as these are often performed with non-invasive techniques where assessments are made by measuring the ratio of incident to transmitted (or reflected) light through a portion of the body, for example a digit such as a finger, or an earlobe, or a forehead.

Transmission of optical energy as it passes through the body is strongly dependent on the thickness of the material through which the light passes, or the optical path length. Many portions of a patient's body are typically soft and compressible. For example, a finger comprises skin, muscle, tissue, bone, blood, etc.

Although the bone is relatively incompressible, the tissue, muscle, etc. are easily compressible with pressure applied to the finger, as often occurs when the finger moves. Thus, if optical energy is made incident on a finger and the patient moves in a manner which distorts or compresses the finger, the optical path length changes. Since a patient generally moves in an erratic fashion, the compression of the finger is erratic. This causes the change in optical path length to be erratic, making the absorption erratic, resulting in a difficult to interpret measured signal.

Many types of non-invasive monitoring devices have been developed to try to produce a clear and discernable signal as energy is transmitted through a medium, such as a finger or other part of the body. In typical optical probes a light emitting diode (LED) is placed on one side of the medium while a photodetector is placed on an opposite side of the medium. Many prior art optical probes are designed for use only when a patient is relatively motionless since, as discussed above, motion induced noise can grossly corrupt the measured signal. Typically, probes are designed to maximize contact between the LED and the medium and the photodetector and the medium to promote strong optical coupling between the LED, the medium, and the photodetector, thereby generating a strong output signal intensity. In this way, a strong, clear signal can be transmitted through the medium when the patient is generally motionless.

For example, U.S. Patent No. 4,880,304 to Jaeb, et al. discloses an optical probe for a pulse oximeter, or blood oxygen saturation monitor, comprising a housing with a flat lower face containing a central protrusion in which a plurality of light emitting diodes (LED's) and an optical detector are mounted. When the probe is placed on the patient's tissue, the protrusion causes the LED's and the detector to press against the tissue to provide improved optical coupling of the sensor to the skin. In another embodiment (Figures 4a and 4b in the Jaeb patent), the LED's and the detector are arranged within a central chamber, generally horizontal with respect to the tissue on which the probe is placed. A set of mirrors or prisms causes light to be directed from the LED's onto the tissue through a polymer sealant within the chamber, the sealant providing a contact with the tissue for good optical coupling with the tissue.

U.S. Patent No. 4,825,879 to Tan, et al. discloses an optical probe wherein a T-shaped wrap, having a vertical stem and a horizontal cross bar, is utilized to secure a light source and an optical sensor in optical contact with a finger. The light source is located in a window on one side of the vertical stem while the sensor is located in a window on the other side of the vertical stem. The finger is aligned with the stem and the stem is bent such that the light source and the sensor lie on opposite sides of the finger. Then, the cross bar is wrapped around the finger to secure the wrap, thereby ensuring that the light

source and the sensor remain in contact with the finger to produce good optical coupling.

U.S. Patent No. 4,380,240 to Jöbsis, et al. discloses an optical probe wherein a light source and a light detector are incorporated into channels within a slightly deformable mounting structure which is adhered to a strap. Annular adhesive tapes are placed over the source and the detector. The light source and detector are firmly engaged with a bodily surface by the adhesive tapes and pressure induced by closing the strap around a portion of the body. An alternative embodiment provides a pressurized seal and a pumping mechanism to cause the body to be sucked into contact with the light source and detector.

U.S. Patent No. 4,865,038 to Rich, et al. discloses an optical probe having an extremely thin cross section such that it is flexible. A die LED and a die photodetector are located on a flexible printed circuit board and encapsulated by an epoxy bead. A spacer, having circular apertures positioned in alignment with the LED and photodetector, is placed over the exposed circuit board. A transparent top cover is placed over the spacer and is sealed with a bottom cover placed under the circuit board, thereby sealing the probe from contaminants. A spine may be added to strengthen the device. The flexibility of the device allows it to be pinched onto the body causing the epoxy beads over the LED and the photodetector to protrude through the apertures in the

spacer and press against the top cover such that good optical contact is made with the body.

U.S. Patent No. 4,907,594 to Muz discloses an optical probe wherein a dual wall rubberized sheath is fit over a finger. A pump is located at the tip of the finger such that a pressurized chamber may be formed between the two walls, thereby causing an LED and a photodetector located in the inner wall to be in contact with the finger.

Each of the above described optical probes attempts to cause a strong measured signal at the photodetector by optimizing contact between the LED, the patient, and the probe. However, this optimization forces compressible portions of the patient's body to be in contact with surfaces which compress these portions of the patient's body when the patient moves. This can cause extreme changes in the thickness of material through which optical energy passes, i.e., changes in the optical path length. Changes in the optical path length can produce enough distortion in the measured signal to make it difficult or impossible to determine desired information. Thus, a need exists for a probe which inhibits motion induced noise, or motion artifacts, during measurement of a signal while still generating a transmitted or reflected signal of sufficient intensity to be measured by a detector.



## SUMMARY OF THE INVENTION

The present invention is a probe for use in both invasive and non-invasive energy absorption (or reflection) measurements. A base is formed in a shape generally corresponding to the material on which measurements are to be made, for example, a section of a patient's body such as a finger, an earlobe, a forehead, a toe, an organ, or a portion of tissue. The base has a forward end, a rear end, a top and a bottom. An aperture is formed in the top of the base. The aperture is the entrance to a chamber. A detector, such as a photodetector, is mounted within the chamber, typically in the bottom of the chamber. The material on which measurements are to be made is placed on the base such that any compressible portion of the material is located directly adjacent the chamber. Thus, the compressible portion of the material is caused to rest above or enter into the chamber. The chamber is deep enough that any material which intrudes into the chamber does not contact anything which might cause compression.

A light source, such as an LED, is affixed to the material, opposite the photodetector. The LED emits light energy which propagates through and is absorbed by the material along the optical path length, or thickness of material through which light propagates. An attenuated light energy signal emerges from the material, into the chamber. As light propagates through the material, it is

scattered by the material and is thus transmitted into the chamber over a broad range of angles. The photodetector produces an electrical signal indicative of the intensity of the signal transmitted by the material. The electrical signal is input to a processor which analyzes the signal to determine information about the medium through which light energy has been transmitted.

The probe of the present invention does not make direct physical contact between the photodetector and the material. Even though this results in less than optimal optical coupling, and thus generally lower output signal intensity, it enables an easily compressible portion of the material that light energy passes through to rest in the chamber and not be compressed. This results in less disturbance of the optical path between the light source and the detector. Since the LED is generally aligned with the chamber and the photodetector, the light energy signal propagates through the portion of the material which rests above or is accommodated within the chamber. The chamber allows the compressible portion of the material to remain substantially uncompressed, even during motion, since nothing within the chamber physically contacts the material through which light energy passes to cause compression. Thus, the thickness of the material, or the optical path length, is stabilized, thereby improving the signal-to-noise ratio of the measured signal. The intensity of the signal received at the photodetector may be improved by emission of higher intensity light by the

LED to compensate for losses caused in the chamber and by the poor optical coupling. Thus, the probe of the present invention produces a strong, clear signal wherein noise due to motion, or motion artifacts, is substantially reduced.

In another embodiment of the invention, an LED may be mounted within the chamber, typically at the bottom of the chamber. A material is placed over the probe, and a photodetector is affixed to the material, opposite the chamber. The chamber still functions to protect easily compressible portions of the material through which light energy will pass from being compressed, even during motion. Another embodiment having the LED within the chamber is one in which a collimating lens assembly is also incorporated into the chamber. The lens assembly is located deep enough within the chamber that any portion of the material on which measurements are being made that penetrates into the chamber does not contact the lens assembly. The collimating lens assembly causes light from the LED to be focused on the material above the chamber, thus providing a less scattered signal transmitted into the chamber and onto the photodetector surface, thereby utilizing the photodetector more effectively.

In yet another embodiment of the present invention, a photodetector is mounted within the chamber, typically at the bottom of the chamber. A material is placed adjacent the probe and an LED is affixed to the material, opposite the chamber. A light collecting lens is placed

within the chamber, above the photodetector, leaving enough space within the chamber for any easily compressible material to intrude into the chamber without contacting the lens and being compressed. The lens collects light which has been scattered by the material and directs this light onto the surface of the photodetector, resulting in a stronger measured signal.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 illustrates a schematic medium comprising N different constituents.

FIG. 2a illustrates an ideal plethysmographic signal that would be measured by the optical probe of the present invention when utilized for pulse oximetry.

FIG. 2b illustrates a realistic signal measured by the optical probe of the present invention when utilized for pulse oximetry.

FIG. 3 is a perspective view of a probe of the present invention having a single segment chamber.

FIG. 4 is a cross-sectional view of an optical probe of the present invention illustrating a single segment chamber having a detector within it.

FIG. 5 is a cross-sectional view of a probe of the present invention having a detector resting on a shell of base material.

FIG. 6 is a cross-sectional view of a probe of the present invention incorporating a light collecting lens.

FIG. 7 is a cross-sectional view of a probe of the present invention illustrating a single segment chamber having an LED within it.

FIG. 8 is a cross-sectional view of a probe of the present invention incorporating a collimating lens assembly.

FIG. 9 is a cross-section view of a probe of the present invention wherein the LED and the detector are not aligned along the central axis of the chamber.

FIG. 10 is a perspective view of another embodiment of a probe of the present invention having a two segment chamber.

FIG. 11 is a cross-sectional view of another embodiment of the probe of FIG. 10 incorporating a two segment chamber having a detector within it.

FIG. 12 is a cross-sectional view of another embodiment of the probe of FIG. 10 incorporating a light collecting lens in a two segment chamber.

FIG. 13 is a perspective view of probe of the present invention having a three segment chamber.

FIG. 14 is a cross-sectional view of the probe of FIG. 13 incorporating a three segment chamber having a detector within it.

FIG. 15 is a cross-sectional view of another embodiment of the probe of FIG. 13 incorporating a light collimating lens.

FIG. 16 is a perspective view of a probe of the present invention specifically designed to be used with a digit.

FIG. 17 illustrates a schematic finger comprising fingernail, skin, bone, tissue, muscle, blood, etc.

FIG. 18 is a cross-section view of the probe of FIG. 16.

FIG. 19 is a longitudinal cross-sectional view of the probe of FIG. 16.

FIG. 20 is a cross-sectional view of another embodiment of the probe of FIG. 16 incorporating a light collecting lens.

FIG. 21 is a cross-sectional view of a probe of the present invention designed to be utilized for reflectance measurements.

FIG. 22 is a cross-sectional view of a probe which is advantageously used for non-invasive measurements when a material is compressible on more than one side. The probe has two bases, each with a chamber to house a detector or an energy source and thereby reduce motion artifacts.

FIG. 23 is a cross-sectional view of a probe having a generally cone-shaped chamber with a reflective surface which advantageously causes energy to be concentrated, or "funneled", onto the surface of a detector within the chamber, improving the measured signal.

FIG. 24 is a schematic of one system which may advantageously employ a probe of the present invention.



## DESCRIPTION OF THE PREFERRED EMBODIMENT

Examination of a material is often advantageous, especially when it is difficult or expensive to procure and test a sample of the material. For example, in physiological measurements, it is often desirable to monitor a patient without unnecessary extraction of blood or tissue. The known properties of energy absorption as energy propagates through a material may be used to determine information about the material through which the energy has passed. Energy is made incident on a material, and a measurement is made of energy either transmitted by or reflected from the material.

The amplitude of the measured signal is highly dependent on the thickness of the material through which the energy passes, or the optical path length. A schematic medium 20 comprising N different constituents  $A_1$  through  $A_N$  is shown in Figure 1. Energy transmitted through the medium 20 is approximately attenuated according to the equation:

$$I = I_0 e^{-\sum_{i=1}^N \epsilon_i c_i x_i}$$

(1)

where  $\epsilon_i$  is the absorption coefficient of the  $i^{\text{th}}$  constituent;  $x_i$  is the thickness of the  $i^{\text{th}}$  constituent through which light energy passes, or the optical path length of the  $i^{\text{th}}$ ; and  $c_i$  is the concentration of the  $i^{\text{th}}$  constituent in thickness  $x_i$ .

Since energy absorption is strongly dependent on the thicknesses of the constituents  $A_1$  through  $A_N$  which make up the medium 20 through which the energy passes, when the thickness of the medium 20 changes, due to motion for example, the thicknesses of the individual constituents  $A_1$  through  $A_N$  change. This causes the absorption characteristics of the medium 20 to change.

Often a medium 20 is under random or erratic motion. For example, if the medium 20 is an easily compressible portion of a patient's body and the patient moves, the medium 20 compresses erratically causing the individual thicknesses  $X_1$  through  $X_N$  of the constituents  $A_1$  through  $A_N$  to vary erratically. This erratic variation may cause large excursions in the measured signal and can make it extremely difficult to discern a desired signal, as would be present without motion induced noise, or motion artifacts.

For example, Figure 2a illustrates an ideal desired signal waveform, labelled Y, measured in one application of the present invention, namely pulse oximetry. Figure 2b illustrates a more realistic measured waveform S, also measured in a pulse oximetry application, comprising the ideal desired signal waveform Y plus motion induced noise, n, i.e.  $S=Y+n$ . It is easily seen how motion artifacts obscure the desired signal portion Y.

Figure 3 is a perspective view of an optical probe 100 of the present invention which greatly diminishes the effects of motion artifacts on the measured signal.

Figure 4 shows a cross-sectional view of the optical probe 100 of the present invention taken along line 4-4 in Figure 3. For clarity in the perspective view of Figure 3, a material 128 on which measurements are to be taken is not shown placed adjacent the probe 100. However, the material 128 on which measurements are to be made is shown in Figure 4. Referring to Figures 3 and 4, a base 110, having a top 112, a bottom 114, a forward end 116, and a rear end 118, is made of a material which is preferably rigid and opaque. It will be understood, however, that the probe 100 may be made of materials which may be rigid, resilient, opaque, or transparent, for example.

An aperture 120 is formed in the top 112 of the base 110. Typically, the aperture 120 is located at a point between one-quarter and one-half of the length of the base 100. The aperture 120 may be of any shape, including but not limited to circular, square, or triangular. The aperture 120 forms the opening to a chamber 122 which may also be of any shape. A lateral cross-section (not shown) of the chamber 122 is typically the same shape as the aperture. A central axis 124 of the chamber 122 is defined by a line aligned perpendicular to the aperture 120 and extending generally through a central portion of the aperture 120.

A light source 130, typically a light emitting diode (LED), is affixed to the material 128, aligned along the central axis 124 of the chamber 122 opposite the chamber 122. Typically, an adhesive such as medical tape is used

to affix the LED 130 to the material 128. A detector 126, such as a photodetector, is placed within the chamber 122. A central portion of the photodetector 126 is generally aligned with the central axis 124 of the chamber 122, typically at the bottom 114 of the chamber 122. The photodetector 126 may be fixed within the chamber 122 according to a number of different methods, including but not limited to adhesive, a press fit, or clear epoxy resin which transmits light over a range of wavelengths of interest. Typically, no matter how the photodetector 126 is held within the chamber 122, the bottom surface 114 of the chamber 122 is made opaque either via the press fit or via paint or tape, for example.

It is often the case that materials 128 on which absorption measurements are performed are, at least in part, easily compressible. Any easily compressible portion of the material 128 is placed directly adjacent the chamber 122. The area surrounding the aperture 120 supports the material adjacent the chamber 122. The chamber 122 is wide enough that any compressible portion of the material 128 located above the aperture 120 may intrude into the chamber 122. Thus, the material 122 may rest above or penetrate slightly into the chamber 122 and is thereby shielded from perturbations which compress the material 128, such as pressure caused when the material 128 is touched.

The chamber 122 is deep enough that the photodetector 126 and the bottom 114 of the chamber 122 do not come into

contact with the easily compressible portion of the material 128, even when the material 128 is caused to move. Thus, along the central axis 124 of the chamber 122 nothing comes into physical contact with the easily compressible portion of the material 128 and causes it to compress. With little or no compression of the material 128 in this region, the thickness of the material 128, or the optical path length of light energy propagating through the material 128, is substantially stabilized.

The LED 130 emits light at a known wavelength. The light propagates through the material 128 and an attenuated signal is transmitted into the chamber 122 to be received by the photodetector 126. As light from the LED 130 propagates through the material 128, it is scattered by the material 128 and is thus transmitted into the chamber 122 over a broad range of angles. Thus, some of the light is caused to be incident on the opaque walls 123 of the chamber 122 and is absorbed. Although the signal travels through a greater optical distance to reach the photodetector 126 at the bottom 114 of the chamber 122 than if the photodetector 126 were immediately adjacent the material 128, thus eliminating direct coupling between the photodetector 126 and the material 128, the resulting degradation to signal intensity is compensated for by the stabilization of the optical path length and the resultant reduction of noise in the measured signal. The photodetector 126 produces an electrical signal indicative of the intensity of light energy incident on the

photodetector 126. The electrical signal is input to a processor which analyzes the signal to determine characteristics of the media 128 through which the light energy has passed.

Additionally helping to improve signal quality, the opaque quality of the base 110 absorbs ambient light which can interfere with the signal measured at the photodetector 126. Further, the opaque bottom 114 of the chamber 122 protects the photodetector 126 from ambient light which can obscure the desired signal measured at the photodetector 126. Thus, an accurate measurement of the intensity of the attenuated signal may be made at the photodetector 126.

An alternative embodiment of the chamber 122 is shown in frontal cross-section in Figure 5. A shell 131 of base 110 material covers the bottom 114 of the chamber 122. The photodetector 126 is mounted on the shell 131, within the chamber 122, generally aligned with the LED 130. The photodetector 126 is electrically connected to a processor through a small hole (not shown) in the shell 131. The shell 131 shields the photodetector 126 from ambient light which can seriously degrade the signal-to-noise ratio of the signal measured at the photodetector 126. It will be understood that the bottom 114 of the chamber 122 may be formed with or without the shell in any embodiment of the probe of the present invention.

Figure 6 shows a frontal cross sectional view of another embodiment of the probe 100 of the present

invention wherein a light collecting lens 132 is placed within the chamber 122, between the material 128 which rests above or enters into the chamber 122 and the photodetector 126. The lens 132 has one generally planar surface 132a aligned parallel to the aperture 120 in the top 112 of the base 110, located deep enough within the chamber 122 that any material 128 which intrudes into the chamber 122 does not contact the planar surface 132a of the lens 132. Another surface 132b of the lens 132 is generally convex having its apex directed toward the photodetector 126 in the bottom 114 of the chamber 122. The lens 132 may be held in the chamber 122 by a number of means, including but not limited to optical adhesive, a lens retaining ring, or a press fit. The chamber 122 functions in the same manner as described above to stabilize the optical path length and reduce motion artifacts. The light collecting lens 132 gathers much of the light which was scattered as it was transmitted through the material 128 and causes it to be incident on the photodetector 126. This produces a stronger measured signal.

Figure 7 shows another embodiment of the probe 100 of the present invention wherein the positions of the photodetector 126 and the LED 130 are interchanged. The LED 130 is placed within the chamber 122, typically at the bottom 114 of the chamber 122, generally aligned with the central axis 124 of the chamber 122. The LED 130 may be fixed within the chamber 122 according to a number of

different methods, including but not limited to a press fit, adhesive, or clear epoxy resin which transmits light over a range of wavelengths of interest, such as around the wavelength which the LED emits. Again, a material 128 is placed on the base 110 having any compressible portion of the material 128 located directly adjacent the chamber 122. The photodetector 126 is attached to the material 128, opposite the LED 130, such that the LED 130, the photodetector 126, and the chamber 122 are aligned along the central axis 124 of the chamber 122. The photodetector 126 is typically attached by an opaque material. For example, the photodetector 126 may be attached to the material 128 with opaque tape, thereby limiting signal degradation caused by ambient light. The photodetector 126 is, again, electrically connected to a processor.

The probe 100 of this embodiment functions substantially identically to the embodiment of the probe 100 having the photodetector 126 housed in the chamber 122. The chamber 122 stabilizes the optical path length by allowing easily compressible portions of the material 128 to rest above or intrude into the chamber 122, thereby stabilizing the optical path length and substantially reducing motion artifacts. This is true regardless of whether the photodetector 126 or the LED 130 is housed within the chamber 122.

Figure 8 shows a cross-sectional view of another embodiment of the probe 100 of the present invention



wherein the LED 130 is located within the chamber 122. A collimating lens assembly 140 is placed within the chamber 122, between the material 128 which rests above or enters into the chamber 122 and the LED 130. Collimating lens assemblies 140 are well known in the art and, thus, the lens assembly 140 is represented schematically in the Figure 8. The collimating lens assembly 140 is located deep enough within the chamber 122 that any material 128 which intrudes into the chamber 122 does not contact the lens assembly 140. The lens assembly 140 may be held in the chamber 122 by a number of means, including but not limited to optical adhesive, a lens retaining ring, or a press fit. The chamber 122 functions in the same manner as described above to stabilize the optical path length and reduce motion artifacts. The collimating lens assembly 140 causes light from the LED 130 to be focused on the material 128 above the chamber 122, thus providing a less scattered signal transmitted onto the photodetector 126 surface, thereby utilizing the photodetector 126 more effectively.

Figure 9 shows another embodiment of the probe 100 of the present invention wherein the LED 130 and the photodetector 126 are not aligned along the central axis 124 of the chamber 122. Light is scattered within the material 128, causing at least a portion of the light emitted by the LED 130 to reach the photodetector 126 for measurement. As long as light emitted by the LED 130 and scattered by the material 128 reaches the photodetector

126 with great enough intensity to be measured, the LED 130 and the photodetector 126 need not be aligned. While alignment of the LED 130 and the photodetector 126 along the same axis causes the light emitted by the LED 130 to reach the photodetector 126 more directly, it is not necessary for operation of the probe of the present invention. In some applications, misalignment may even be advantageous. It will be understood that this is true for any embodiment of the probe of the present invention. Additionally, it will be understood that a photodetector 126 which fills the width of the chamber 122 is advantageous in that more of the light directed into the chamber 122 will be incident on the surface of the photodetector 126, resulting in a stronger measured signal. However, any size photodetector 126 which acquires enough energy to produce an adequately strong measured signal is acceptable. It will be understood that this is true for any embodiment of the probe of the present invention.

A perspective view of another embodiment of the probe 200 of the present invention comprising a multi-segment chamber 222 is shown in Figure 10. Figure 11 shows a cross-sectional view of the probe 200 of the present invention taken along line 11-11 in Figure 10. For clarity in the perspective view of Figure 10, a material 228 on which measurements are to be taken is not shown placed adjacent the probe 200. However, the material 228 is shown adjacent the probe 200 in Figure 11.

Referring to Figures 10 and 11, a base 210, having a top 212, a bottom 214, a forward end 216, and a rear end 218, is made of a material which is preferably rigid and opaque. It will be understood, however, that the probe 200 may be made of materials which may be rigid, resilient, opaque, or transparent, for example. An aperture 220 of any shape is formed in the base 210, similar to the aperture 120 described above in conjunction with the probe 100 of Figures 3 through 9. The aperture 220 forms the opening to a stabilizing segment 222a of the multiple segment chamber 222. A lateral cross-section (not shown) of the stabilizing segment 222a of the chamber 222 is typically the same shape as the aperture 220. Walls 223a of the stabilizing segment 222a are generally perpendicular to the aperture 220. A central axis 224 of the chamber 222 is defined by a line aligned generally perpendicular to the aperture 220 and extending generally through a central portion of the aperture 220 and the chamber 222.

A mounting segment 222b is located directly adjacent and below the stabilizing segment 222b, connected to the stabilizing segment 222b by a border 225. The mounting segment 222b shares the central axis 224 of the stabilizing segment 222a and is typically of smaller width. Walls 223b of the mounting segment 222b are generally parallel to the central axis 224. The mounting segment 222b may extend through the bottom 214 of the base 210, as shown in Figure 11, or the mounting segment 222b

may extend to just above the bottom 214 of the base 210, leaving a shell (not shown) of base 210 material at the bottom 214 of the chamber 222.

A photodetector 226 is placed in the mounting segment 222b of the chamber 222, typically at the bottom 214 of the mounting segment 222b, having a central portion of the photodetector 226 generally aligned with the central axis 224 of the chamber 222. The mounting segment 222b of the chamber 222 is deep enough that the photodetector 226 does not penetrate into the stabilizing segment 222 of the chamber 222. The photodetector 226 may be fixed within the chamber 222 according to a number of different methods, including but not limited to adhesive, a press fit, or a clear epoxy resin which transmits light over a range of wavelengths of interest. Typically the bottom 214 of the chamber 222 is made opaque via paint or tape, for example, or by leaving a shell (not shown) of base 210 material at the bottom 214 of the chamber 222 when the chamber 222 is formed. The photodetector 226 is electrically connected to a processor, similarly to the photodetector 126 in the previous embodiment of the probe 100 of the present invention.

An energy absorbing material 228 is placed over the base 210 as shown in the cross section of Figure 11. A portion of the material 228 may rest above the chamber 222. Additionally, the stabilizing segment 222a of the chamber 222 is wide enough that any easily compressible portion of the material 228 may intrude into the

stabilizing segment 222a of the chamber 222. The stabilizing segment 222a of the chamber 222 is deep enough that the portion of the material 228 which enters into the stabilizing segment 222a does not contact matter within the stabilizing segment 222a which might cause compression, even when the material 228 is caused to move.

A light emitting diode (LED) 230 is affixed to the material 228, opposite the aperture 220. The LED 230 is advantageously aligned along the central axis 224 to optimize the amount of light incident directly through the material 228 onto the photodetector 226. However, it will be understood that the positions of the photodetector 226 and the LED 230 could be interchanged as discussed in conjunction with Figure 7. Additionally, a collimating lens assembly (not shown) could be added to the chamber 222 as discussed in conjunction with Figure 8. The collimating lens assembly may be held in the chamber 222 similarly to a light collecting lens 232 discussed hereinbelow. Further, it will be understood that the LED 230 and the photodetector 226 could be unaligned, as discussed in conjunction with Figure 9.

As light from the LED 230 propagates through the material 228, it is scattered by the material 228 and is thus transmitted into the chamber 222 over a broad range of angles. Thus, some of the light is caused to be incident on the opaque walls 223a and 223b of the chamber 222 and is absorbed. However, the advantageous alignment of the photodetector 226 and the LED 230 along the central

axis 224 causes a large percentage of the light to be incident on the surface of the photodetector 226. Since the material 228 remains substantially uncompressed above and within the stabilizing segment 222a, the thickness through which the light travels, or the optical path length, is substantially stabilized. Thus, the signal-to-noise ratio of the measured signal is improved by the suppression of motion artifacts due to the chamber 222.

In another embodiment of the probe 200, a light collecting lens 232 is inserted within the chamber 222, as shown in cross-section in Figure 12. The lens 232 is advantageously supported at the border 225 between the stabilizing segment 222a and the mounting segment 222b. The lens may be held in place by a number of means, including but not limited to an optical adhesive, a lens retaining ring, or a press fit. The lens 232 has a generally planar surface 232a aligned with the border 225 between the stabilizing segment 222a and the mounting segment 222b and a generally convex surface 223b extending into the mounting segment 222b of the chamber 222. The stabilizing segment 222a of the chamber 222 is deep enough that the lens 232 does not contact any of the compressible material 228 which may have intruded into the chamber 222.

The lens 232 collects light which is incident on the planar surface 232a. Much of the light which is incident on this surface 232a at angles which would be absorbed by the walls 223a and 223b of the chamber 222 if the lens were not present is now directed toward the photodetector

226. Thus, a greater percentage of the light transmitted through the material 228 is caused to be incident on the photodetector 226, resulting in a stronger measured signal.

A perspective view of another embodiment of the probe 300 of the present invention which incorporates a chamber 322 having three segments 322a, 322b, and 322c is shown in Figure 13. The probe 300 has a base 310 with a top 312, a bottom 314, a forward end 316, and a rear end 318. The base 310 is typically made of rigid opaque material. However, it will be understood that the base 310 may be made of other materials which may be rigid, resilient, opaque, or transparent, for example. A cross-sectional view of the chamber 322 of this embodiment is shown in Figure 14. For clarity in the perspective view of Figure 13, a material 328 on which measurements are to be taken is not shown placed adjacent the probe 300. However, the material 328 is shown in the cross section of Figure 13. An aperture 320 of any shape is formed in the base 310, similar to the apertures 120 and 220 described above. The aperture 320 forms the opening to a stabilizing segment 322a of a three segment chamber 322. A lateral cross-section (not shown) of the stabilizing segment 322a of the chamber 322 is typically the same shape as the aperture 320. Walls 323a of the stabilizing segment 322a are generally perpendicular to the aperture 320. A central axis 324 of the chamber 322 is defined by a line aligned perpendicular to the aperture 320 and extending generally

through a central portion of the aperture 320 and the chamber 322.

A second, transitional segment 322b of the chamber 322 is adjacent the stabilizing segment 322a of the chamber 322. A top border 325a is formed between the transitional segment 322b and the stabilizing segment 322a of the chamber 322. The transitional segment 322b shares the same central axis 324 as the stabilizing segment 322a. Walls 323b of the transitional segment 322b are angled inwardly such that a bottom border 325b of the transitional segment 322b is of smaller dimension than the top border 325a of the transitional segment 322b.

The bottom border 325b of the transitional segment 322b leads into a mounting segment 322c of the chamber 322. The mounting segment 322c shares the same central axis 324 of the stabilizing and transitional segments 322a and 322b and is typically of smaller width than the stabilizing and transitional segments 322a and 322b. Walls 323c of the mounting segment 322c are generally parallel to the central axis 324. Thus, any cross-section of the mounting segment 322c cut perpendicular to the central axis 324 of the chamber 322 is typically of approximately the same shape as the bottom border 325b of the transitional segment 322b of the chamber 322. The mounting segment 322c may extend through the bottom 314 of the base 310, as shown. Alternatively, the mounting segment 322c may extend to just above the bottom 314 of the base 310, leaving a shell (not shown) of base 310



material at the bottom 314 of the three segment chamber 322.

A photodetector 326 is placed within the mounting segment 322c of the chamber 322, typically at the bottom 314 of the chamber 322. A central portion of the photodetector 326 is aligned with the central axis 324 of the chamber 322. The mounting segment 322c of the chamber 322 is deep enough that the photodetector 326 does not penetrate into the stabilizing segment 322 of the chamber 322. The photodetector 326 may be fixed within the chamber 322 according to a number of different methods, including but not limited to adhesive, a press fit, or a clear epoxy resin which transmits light over a range of wavelengths of interest. Typically, the bottom 314 of the chamber 322 is made opaque via the press fit, paint, or tape, for example. The photodetector 326 is electrically connected to a processor, similarly to the photodetectors 126 and 226 in the previous embodiments of the probe of the present invention.

When a portion of an energy absorbing material 328 is placed over the probe 300, as shown in the cross-section of Figure 14, it may rest above the chamber 322. Additionally, the stabilizing segment 322a of the chamber 322 is wide enough that easily compressible portions of the material 328 may enter into the stabilizing segment 322a of the chamber 322. The stabilizing segment 322a of the chamber 322 is deep enough that the easily compressible portion of the material 328 which intrudes

into the stabilizing segment 322a does not contact matter within the stabilizing segment 322a which might cause compression of the material 328, even when the material 328 is caused to move. The chamber 322 shields the compressible material 328 from contact which might cause compression of the material 328 and thereby change the optical path length through the material 328.

An LED 330 is affixed to the material 328, opposite the aperture 320. The LED 330 is advantageously aligned along the central axis 324 to optimize the amount of light incident directly through the material 328 onto the photodetector 326. It will be understood that the positions of the photodetector 326 and the LED 330 could be interchanged as discussed in conjunction with Figure 7.

Additionally, a collimating lens assembly (not shown) could be added to the chamber 322 as discussed in conjunction with Figure 8. The collimating lens assembly may be held in the chamber 322 similarly to a light collecting lens 332 discussed hereinbelow. Further, it will be understood that the LED 330 and the photodetector 326 could be unaligned, as discussed in conjunction with Figure 9.

As light from the LED 330 propagates through the material 328, it is scattered by the material 328 and is thus transmitted into the chamber 322 over a broad range of angles. Thus, some of the light is caused to be incident on the opaque walls 323a, 323b, and 323c of the chamber 322 and is absorbed. However, the advantageous

alignment of the photodetector 326 and the LED 330 along the central axis 324 of the chamber 322 causes a large percentage of the light to be incident on the surface of the photodetector 326. Since the material 328 remains substantially uncompressed above and within the stabilizing segment 322a, the thickness through which the light travels, or the optical path length, is substantially stabilized. Thus, the signal-to-noise ratio of the measured signal is improved by the suppression of motion artifacts. Additionally helping to improve the signal to noise ratio of the measured signal is the opaque bottom 314 of the mounting segment 322c which shelters the photodetector 326 from ambient light.

In another embodiment of the probe 300 of the present invention, a light collecting lens 332 is added to the transitional segment 322b of the chamber 322, as shown in a cross sectional view in Figure 15. The lens 332 is supported in the transitional segment 322b and may be held in the transitional segment 322b by a number of means, including but not limited to optical adhesive, a lens retaining ring, or a press fit. The lens has a generally planar surface 332a aligned with the top border 325a of the transitional segment 322b of the chamber 322 and a generally convex surface 325b extending into the transitional segment 322b of the chamber 322. The stabilizing segment 322a of the chamber 322 is deep enough that the lens 332 does not contact the easily compressible

material 328 which rests above or has intruded into the chamber 322.

The lens 332 collects light which is incident on the planar surface 332a. Much of the light which is incident on this surface 332a at angles which would have been absorbed by the walls 323a, 323b and 323c of the chamber 322 if the lens 332 were not present is now directed toward the photodetector 326. Thus, a greater percentage of the light transmitted through the material 328 is caused to be incident on the photodetector 326, resulting in a stronger measured signal.

It will be understood that the walls 323b of the transitional segment 322b in each of the above described embodiments need not be sloped to achieve transition from larger width in the stabilizing segment 322a to smaller width in the mounting segment 322c. The walls 323b of the transitional segment 322b could be aligned generally parallel to the central axis 324, arranged at a distance which would cause the width of the transitional segment 322b to be less than the width of the stabilizing segment 322a and greater than the width of the mounting segment 322c.

Figure 16 shows a perspective view of another probe 400 of the present invention specifically designed for use with a digit, such as a finger or a toe. For ease of illustration, the present example will pertain to a finger, though it will be understood that the present example could equally well pertain to any digit. Figure

17 illustrates a schematic finger 428 comprising nail, skin, bone, tissue, muscle, blood, etc. Constituents in the finger's pad 404, such as fat and tissue, are easily compressible with motion of a patient. Even slight motion of the finger 428 can cause the thickness of constituents within the finger 428 to change greatly, thereby causing large motion induced excursions to occur in a measured signal, often obscuring a desired portion of the measured signal from which information about the patient can be determined.

Referring back to Figure 16, base 410 of the finger probe 400, called a saddle 410 in this embodiment, is generally semi-cylindrical and preferably is made of a rigid or semi-rigid, opaque material such as black plastic. It will be understood, however, that the saddle 410 may be made of other materials, including those which are rigid, resilient, opaque, and transparent, for example. The saddle 410 has a top 412, a bottom 414, a forward end 416, a rear end 418, a ridge 440, and sidewalls 450 which curve upwardly from the ridge 440 to form a U-shape in cross-section, as shown in Figure 18.

Referring to Figures 16 and 18, an aperture 420 forms the entrance to a chamber 422, located between one-quarter to one-half of the length of the saddle 410 from the forward end 416 of the saddle 410, as shown in the longitudinal cross-section of Figure 19. The aperture 420 can be of any shape, including but not limited to circular, square, or triangular. The aperture 420 is the

entrance to a chamber 422, as described previously in conjunction with other embodiments 100, 200, and 300 of the probe of the present invention. The chamber 422 may also be of any shape, including but not limited to circular, square, or triangular in cross-section.

The chamber 422 may have one or more segments, as described previously. Although the chamber 422 shown in this embodiment is a three segment chamber 422, having a stabilizing segment 422a, a sloped-wall transitional segment 422b, and a mounting segment 422c aligned on a common central axis 424, it will be understood that any chamber 422 which protects from compression, a compressible portion of the finger 428 through which light energy passes during absorption measurements, is a viable alternative. It will further be understood that a shell (not shown) of saddle 410 material could cover the bottom 414 of the chamber 422, as described previously with respect to the embodiment of the probe shown in Figure 5.

A photodetector 426 is placed within the chamber 422, typically at the bottom 414 of the mounting segment 422c of the chamber 422. The photodetector 426 may be in place by adhesive, a press fit, or a clear epoxy resin which transmits light over a range of wavelengths of interest, for example. Typically, the bottom 414 of the chamber 422 is made opaque via tape or paint, for example, such that ambient light does not affect the photodetector 426.

The finger 428 is placed on the saddle 410, the finger pad 404 directly adjacent the aperture 420 and

chamber 422. Additionally, the finger pad 404 may rest above the chamber 422. The aperture 420 and stabilizing segment 422a of the chamber 422 are wide enough that any easily compressible portion of the finger 428, such as a portion of the finger pad 404, may intrude into the chamber 422. The stabilizing segment 422a of the chamber 422 is deep enough that any portion of the finger 428 which does penetrate into the stabilizing segment 422a does not contact any matter within the stabilizing segment 422a which might cause compression of the finger 428, even when the finger 428 is caused to move.

An LED 430 is affixed to the finger 428, generally opposite the aperture 420. The LED 430 is typically attached to the finger 428 via adhesive, such as medical tape. The LED 430 is advantageously aligned along the central axis 424 to optimize the amount of light transmitted directly through the finger 428 onto the photodetector 426. However, it will be understood that the positions of the photodetector 426 and the LED 430 could be interchanged as discussed in conjunction with Figure 7. Additionally, a collimating lens assembly (not shown) could be added to the chamber 422 as discussed in conjunction with Figure 8. The collimating lens assembly may be held in the chamber 422 similarly to a light collecting lens 432 discussed hereinbelow. Further, it will be understood that the LED 430 and the photodetector 426 could be unaligned, as discussed in conjunction with Figure 9.

The LED 430 emits a light energy signal which propagates through the finger 428 and is transmitted into the chamber 422. The chamber 422 shields from compression the portion of the finger 428 through which light energy passes. Thus, the optical path length of the light through the finger 428 is substantially stabilized and motion artifacts are substantially reduced in the measured signal. It will be understood that a single segment chamber as described in conjunction with Figures 3 through 9 or a two segment chamber as described in conjunction with Figures 10 through 12 could equally well be used in the finger probe 400 of the present invention to shield the compressible portion of the finger 428 from compression and thereby reduce motion artifacts.

Figures 16, 18, and 19 illustrate a perspective view, a frontal cross-sectional view, and a longitudinal cross-sectional view, respectively, of one embodiment of the finger probe 400. The curvature of the saddle 410 is correlated to the average curvature of the finger 428 such that the sidewalls 450 form a semi-circular splint-type support for the finger 428. The saddle 410 is approximately 25 mm long between the forward end 416 and the rear end 418, such that a portion of the finger 428 between its tip 406 and approximately its first knuckle 408 (shown in Figure 17) fits between the front 416 and the rear 418 ends of the probe 400. The curvature of the saddle 410 is generally defined by a line 460 (shown in



Figure 18) which is tangent to a sidewall 450 at an angle between 30° and 50° from horizontal.

The placement of the aperture 420 at a point between one-third and one-half of the length of the saddle 410, causes the thickest section of the compressible portion of the finger 428, or the finger pad 404, to rest above and within the chamber 422. Thus, the portion of the finger 428 with the greatest amount of compressible material is safeguarded from compression by the chamber 422.

In the embodiment of the finger probe 400 shown in Figures 16, 18, 19, and 20, the aperture 420 is generally circular and the chamber 422 has three segments 422a, 422b, and 422c, as shown in the cross-sectional view of Figure 18. Advantageously employed dimensions for the finger probe 400 illustrated in Figures 16, 18, 19, and 20 include the stabilizing segment 422a of the chamber 422 being generally cylindrical and having a diameter of approximately seven millimeters. Additionally, the stabilizing segment 422a of the chamber 422 is deep enough that any portion of the finger 428 which penetrates into the chamber remains substantially free of perturbation, even when the finger 428 moves. An advantageous depth for the stabilizing segment 422a is thus approximately two millimeters deep. The mounting segment 422c of the chamber 422 is also cylindrical, having a diameter of approximately five millimeters. The transitional segment 422b of the chamber 422 is of varying diameter, having sloped walls 423b, such that a top border 425a is

approximately seven millimeters in diameter and a bottom border 425b is approximately five millimeters in diameter. A detector 426 having up to a 5 millimeter diameter in the bottom 416 of the mounting segment 422c of the chamber 422.

In another embodiment of the finger probe 400, a light collecting lens 432 may be added to the finger probe 400 of the present invention, as shown in Figure 20. The saddle 410 and the chamber 422 function as discussed above. The lens 432 functions as described above in conjunction with Figures 6, 12, and 15 to collect light incident on the lens 432 which would be absorbed by the walls 423a, 423b and 423c of the chamber 422 if the lens 432 were not present. Thus, a greater percentage of the light transmitted through the finger 428 is directed onto the photodetector 426, resulting in a stronger measured signal.

Other embodiments of the probe of the present invention may be specifically designed and manufactured for use with an earlobe or other thin section of the body, such as a nostril or a lip, using the principles described herein. Also, embodiments of the probe of the present invention utilizing the properties of attenuation as energy is reflected from a medium, rather than transmitted through a medium, may be made using similar principles.

A probe 700 specifically designed to measure reflected energy is shown in cross-section in Figure 21. A base 710 is placed adjacent a material 728 on which

reflectance measurements are to be made. A photodetector 726 and an LED 730 are located within the base 710. In the embodiment shown in Figure 21, the photodetector 726 is positioned within a chamber 722x and the LED 730 is positioned within a chamber 722y. Although single segment chambers 722x and 722y are illustrated, the chambers 722x and 722y may be of any suitable shape and size. The chambers 722x and 722y function to stabilize the optical path length, as discussed previously, by shielding from compression any compressible portion of a material which rests above or intrudes into the chambers 722x and 722y.

A light collecting lens (not shown) may be added to the chamber 722x having the photodetector 726 within it, as discussed previously in conjunction with Figures 6, 12 and 15. Additionally, a collimating lens assembly (not shown) may be added to the chamber 722y having the LED 730 in it, as discussed previously in conjunction with Figure 8. The chambers 722x and 722y may be formed with or without a shell (not shown) of base 710 material, as discussed previously in conjunction with Figure 5.

It will be understood that in other embodiments (not shown) of the reflectance probe 700, the photodetector 726 could protrude from the base 710 and the LED 730 be located within a chamber 722y or the LED 730 could be protrude from the base 710 and the photodetector 726 could be located within a chamber 722x. Additionally, the photodetector 726 and the LED 730 could be located within a single chamber 722. In any embodiment the chamber(s) 722 may have any number of segments of any suitable shape.

The type of probe 700 which relies on reflection may be advantageously utilized on materials where a photodetector 726 and an LED 730 cannot be placed on opposite sides of the material 728, such as with the forehead. However, a reflectance probe 700 can be used anywhere a non-invasive measurement needs to be taken, such as a lip, an earlobe, or a finger, for example.

Figure 22 shows a cross-sectional view of another probe 800 of the present invention wherein two bases 810x and 810y are placed adjacent to a material 828 on which measurements are to be made. The bases 810x and 810y are located on opposite sides of the material 828. A photodetector 826 is placed in a chamber 822x in the base 810x. An LED 830 is placed in a chamber 822y in the base 810y. The photodetector 826 and the LED 830 are aligned substantially along a central axis 824. Although two segment chambers 822x and 822y are illustrated, the chambers 822x and 822y may be of any suitable shape and size. Independent of which shape of chamber is utilized, the chambers 822x and 822y function to stabilize the optical path length and thereby reduce the effects of motion artifacts on the measured signals.

As discussed previously, the probe 800 may be modified slightly with a light collecting lens (not shown) added to the chamber 822x with the photodetector 826 in it. A collimating lens assembly (not shown) may be added to the chamber 822y with the LED 830 in it. Additionally, the chambers 822x and 822y may be formed with or without

a shell (not shown) of base 810x and 810y material. The probe 800 is particularly advantageous when a material 828 is compressible on more than one side since each chamber 822x and 822y supports and shields from compression any compressible portion of a material 828 which rests above or intrudes into the chambers 822x and 822y, respectively.

Figure 23 shows a cross-sectional view of another probe 900 of the present invention wherein a chamber 922 having walls 923 is formed to concentrate, or "funnel", energy onto the surface of a photodetector 926. An aperture 920 is formed in a base 910, the aperture 920 leading to a generally cone-shaped chamber 922. The base 910 is placed adjacent a material 928 on which measurements are to be made, the chamber 922 being placed directly adjacent any easily compressible portion of the material 928. The photodetector 926 is placed within the chamber 922, typically at the bottom of the chamber 928.

A light emitting diode 930 is placed on the material 928, generally opposite and aligned with the photodetector 926.

As discussed previously, a portion of the material 928 is supported by the area surrounding the aperture 920. Additionally, the aperture 920 and chamber 922 are wide enough that any easily compressible portion of the material 928 may intrude into the chamber 922 without being compressed, thereby shielding this portion of the material 928 from compression, even during motion of the material 928. This substantially stabilizes the optical

path length and improves the signal to noise ratio of the signal measured at the photodetector 926.

Further improving the signal to noise ratio of measurements made with the probe 900, reflective material, such as a highly reflective metal, covers the walls 923 of the chamber 922. This causes light scattered by the material 928 and made incident on the walls of the chamber 922 to be reflected. The cone shape causes the light to be concentrated generally on the photodetector 926.

Depending upon the shape of the photodetector 926, the chamber 922 may be advantageously contoured to maximize the funneling of light onto the photodetector 926. If the photodetector 926 is flat, the chamber is most advantageously shaped having a generally hyperbolic cross-section. However, if the photodetector 926 is spherical or slightly curved, as is often the case due to manufacturing processes, the chamber is most advantageously shaped having a cone-shaped cross-section with uncurved walls 923.

As discussed previously in conjunction with other embodiments of the probe of the present invention, the probe 900 may be modified to include a light collecting lens (not shown). Alternatively, an LED 930 could be placed within the chamber 922 instead of the photodetector 926. With the LED in the chamber 922, a collimating lens assembly (not shown) could be placed within the chamber 922. Two bases 910 with two generally cone-shaped chambers could be utilized on one or either side of a

material 928. A single base 910 with two generally cone-shaped chambers 922 located side by side could also be used for reflective measurements. Additionally, the photodetector 926 and the LED 930 need not be aligned along the central axis 924.

Figure 24 shows a block diagram of one system which may utilize a probe of the present invention to make non-invasive optical measurements with reduced interference from motion artifacts. The system shown in Figure 24 is a pulse oximeter wherein the finger probe 400 is employed and two measured signals at different wavelengths, one of which is typically red and the other of which is typically infrared, are alternately passed through the finger 428. Signals measured at the photodetector 426 are then processed to determine the amount of oxygen available to the body. This is evaluated by finding the saturation of oxygenated hemoglobin in blood comprising both oxygenated and deoxygenated hemoglobin.

Two LED's 430a and 430b, one LED 430a emitting red wavelengths and another LED 430b emitting infrared wavelengths, are placed adjacent the finger 428. The finger probe 400 is placed underneath the finger 428, the aperture 420 and chamber 422 located directly adjacent the finger pad 404. The photodetector 426 in the bottom 414 of the chamber 422 is connected to a single channel of common processing circuitry including an amplifier 530 which is in turn connected to a band pass filter 540. The band pass filter 540 passes signal into a synchronized

demodulator 550 which has a plurality of output channels. One output channel is for signals corresponding to visible wavelengths and another output channel is for signals corresponding to infrared wavelengths.

The output channels of the synchronized demodulator 550 for signals corresponding to both the visible and infrared wavelengths are each connected to separate paths, each path comprising further processing circuitry. Each path includes a DC offset removal element 560 and 562, such as a differential amplifier, a programmable gain amplifier 570 and 572 and a low pass filter 580 and 582. The output of each low pass filter 580 and 582 is amplified in a second programmable gain amplifier 590 and 592 and then input to a multiplexer 600.

The multiplexer 600 is connected to an analog-to-digital converter 610 which is in turn connected to a microprocessor 620. Control lines between the microprocessor 620 and the multiplexer 600, the microprocessor 620 and the analog-to-digital converter 610, and the microprocessor 620 and each programmable gain amplifier 570, 572, 590, and 592 are formed. The microprocessor 620 has additional control lines, one of which leads to a display 630 and the other of which leads to an LED driver 640 situated in a feedback loop with the two LED's 430a and 430b.

Each of the LED's 430a and 430b alternately emits energy which is absorbed by the finger 428 and received by the photodetector 426. The photodetector 426 produces an



electrical signal which corresponds to the intensity of the light energy striking the photodetector 426 surface. The amplifier 530 amplifies this electrical signal for ease of processing. The band pass filter 540 then removes unwanted high and low frequencies. The synchronized demodulator 550 separates the electrical signal into electrical signals corresponding to the red and infrared light energy components. A predetermined reference voltage,  $V_{ref}$ , is subtracted by the DC offset removal element 560 and 562 from each of the separate signals to remove substantially constant absorption which corresponds to absorption when there are no motion artifacts. Then the first programmable gain amplifiers 570 and 572 amplify each signal for ease of manipulation. The low pass filters 580 and 582 integrate each signal to remove unwanted high frequency components and the second programmable gain amplifiers 590 and 592 amplify each signal for further ease of processing.

The multiplexer 600 acts as an analog switch between the electrical signals corresponding to the red and the infrared light energy, allowing first a signal corresponding to the red light to enter the analog-to-digital convertor 610 and then a signal corresponding to the infrared light to enter the analog-to-digital convertor 610. This eliminates the need for multiple analog-to-digital convertors 610. The analog-to-digital convertor 610 inputs the data into the microprocessor 620 for calculation of the saturation of oxygen according to

known methods, such as those described in U.S. Patent Application Serial No.660, 060 entitled "SIGNAL PROCESSING APPARATUS AND METHOD", filed March 7, 1991, assigned to VITAL SIGNALS, INC., the same assignee as the present patent, incorporated herein by reference. The microprocessor 620 centrally controls the multiplexer 600, the analog-to-digital convertor 610, and the first and second programmable gain amplifiers 570, 590, 572, and 592 for both the red and the infrared channels. Additionally, the microprocessor 620 controls the intensity of the LED's 430a and 430b through the LED driver 640 in a servo loop to keep the average intensity received at the photodetector 426 within an appropriate range.

One skilled in the art will realize that the light collecting lens, or other optical elements, may be added to the chamber in any optical probe of the present invention to more efficiently direct light onto the photodetector. One skilled in the art will further realize that the location of the photodetector and the LED may be interchanged in any of the above described probes. One skilled in the art will realize that the bottom of any chamber formed in a base of an optical probe of the present invention can remain exposed, be covered by a material such as opaque tape, or be covered by a shell of base material without affecting the reduction of motion artifacts brought about by the chamber. Additionally, one skilled in the art will realize that reflective measurements could be made with the probes of the present

invention by mounting both the photodetector and LED on the base of the probe. Also, one skilled in the art will realize that a plurality of LED's or photodetectors could be mounted in the chamber or affixed to the material such that more than one signal may be measured at a time. Furthermore, one skilled in the art will realize that any material having a chamber, with a detector or an LED mounted within the chamber, will reduce the effects of motion artifacts in non-invasive absorption (or reflection) measurements, according to the present invention.

It will be understood that the probe of the present invention may be employed in any circumstance where a measurement of transmitted or reflected energy is to be made, including but not limited to measurements taken on a finger, an earlobe, a lip, or a forehead. Thus, there are numerous other embodiments which will be obvious to one skilled in the art, including but not limited to changes in the shape of the probe, changes in the materials out of which the probe is made including rigid and resilient materials, and changes in the shape, dimensions, and location of the chamber. Moreover, the chamber(s) may be coated, in whole or in part, with reflective material to help direct energy onto the detector. Furthermore, the probe of the present invention may be employed in measurements of other types of energy. Depending upon the type of energy which is most advantageously utilized in a measurement, the type of

transmitter or receiver of energy may be changed. The invention may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects only as illustrative and not restrictive. The scope of the invention is, therefore, indicated by the appended claims rather than by the foregoing description. All changes which come within the meaning and range of equivalency of the claims are to be embraced within their scope. What is claimed is:

CLAIMS

1. An energy sensor comprising:  
a housing having a support surface for a material which is to be analyzed;  
a chamber having an entrance in the form of an aperture positioned on said housing support surface such that said material covers said aperture and is supported by said support surface around said aperture; and  
a detector located in said chamber for detecting energy which has passed through a portion of said material and enters said chamber through said aperture, said detector positioned in said chamber in a manner such that said portion of said material which covers said aperture is isolated from contact with any surface which could cause compression of said portion of said material such that said portion of said material remains substantially uncompressed.
2. The energy sensor of Claim 1, further comprising an energy source for directing energy into said material, said energy source being located generally opposite said chamber.
3. The energy sensor of Claim 1, wherein walls of said chamber are formed such that said chamber is generally cone-shaped.

4. The energy sensor of Claim 3, wherein said chamber further comprises reflective walls.

5. The energy sensor of Claim 1, further comprising:

a second housing having a support surface for said material which is to be analyzed, said second housing located adjacent said material, generally opposite said first housing;

a second chamber having an entrance in the form of a second aperture on said second housing support surface such that said material covers said second aperture and is supported by said support surface around said aperture, said light source located in said second chamber.

6. The energy sensor of Claim 1, further comprising:

a second chamber having an entrance in the form of a second aperture positioned on said housing support surface, said material covering said second aperture and being supported by said support surface around said second aperture; and

an energy source located in said second chamber for causing energy to be incident on said material through said second aperture, said energy source located in said second chamber in a manner such that said portion of said material which covers said second aperture is isolated from contact with any surface which could cause compression of said portion of said material such that

said portion of said material remains substantially uncompressed.

7. An optical sensor comprising:

a housing having a support surface for a material which is to be analyzed;

a chamber having an entrance in the form of an aperture on said housing support surface such that said material covers said aperture and is supported by said support surface around said aperture; and

a detector located in said chamber for detecting light which has passed through a portion of said material and enters said chamber through said aperture, said detector positioned in said chamber in a manner such that said portion of said material which covers said aperture is isolated from contact with any surface which could cause compression of said portion of said material, such that said portion of said material remains substantially uncompressed.

8. The optical sensor of Claim 7, further comprising a light source for directing light into said material.

9. The optical sensor of Claim 7, wherein said chamber further comprises reflective walls.

10. In an apparatus for sensing light absorption through transillumination of flesh by a light source and

reception of light by an optical detector, a sensor comprising:

a base having a support surface for supporting a portion of a body member;

a chamber having an entrance in the form of an aperture on said base support surface such that said portion of a body member covers said aperture and is supported by said support surface around said aperture; and

a detector located in said chamber for detecting light which has passed through said portion of a body member and enters said chamber through said aperture, said detector positioned in said chamber in a manner such that said portion of a body member which covers said aperture is isolated from contact with any surface which could cause compression of said portion of said body member such that said portion of said body member remains substantially uncompressed.

11. The sensor of Claim 10, further comprising a light source for directing light into said body member.

12. A probe for measurements of a signal which has been attenuated by a compressible material, comprising:

a base;

an aperture formed in said base;

a chamber extending from said aperture into said base, said chamber being placed directly adjacent the compressible material, the chamber, base, and aperture



cooperating such that a portion of the compressible material adjacent said aperture remains generally uncompressed while a portion of the compressible material surrounding said aperture is supported by said base; and

a detector positioned within said chamber in said base, said detector being disposed to receive energy which has travelled through a portion of said material.

13. The probe of Claim 12, wherein said detector is a photodetector.

14. The probe of Claim 13, further comprising a light collecting lens located within said chamber between said material and said detector.

15. The probe of Claim 12, further comprising an energy source for transmitting energy into the material.

16. The probe of Claim 15, wherein said energy source emits optical energy.

17. The probe of Claim 12, wherein said chamber further comprises:

a stabilizing segment having walls located a first distance apart, said stabilizing segment having a central axis extending generally parallel to the walls of said stabilizing segment; and

a mounting segment located proximate to said stabilizing segment having walls located a second distance

apart, said second distance being less than said first distance, said mounting segment having a second central axis extending generally parallel to the walls of said second segment, said second central axis and said first central axis being generally aligned.

18. A probe for measurements of a signal which has been attenuated by a compressible material, comprising:

a base;

an aperture formed in said base;

a chamber extending from said aperture into said base, said chamber being placed directly adjacent the compressible material, the chamber, base, and aperture cooperating such that a portion of the compressible material adjacent said aperture remains generally uncompressed while a portion of the compressible material surrounding said aperture is supported by said base; and

an energy source positioned within said chamber in said base for transmitting energy into the material.

19. The probe of Claim 18, wherein said source is a light emitting diode.

20. The probe of Claim 18, further comprising a collimating lens assembly located within said chamber between said material and said source.

21. The probe of Claim 18, further comprising a detector positioned proximate said material, said detector

being disposed to receive energy which has travelled through a portion of said material.

22. An optical probe for measuring light energy transmitted through a material, comprising:

a base which is generally semi-cylindrical having curved sidewalls, said base having a thickness;

an aperture located within said base;

a chamber extending from said aperture into said base, through a substantial portion of the thickness of said base, said material being located adjacent said aperture and chamber; and

a photodetector located within said chamber.

23. The optical probe of Claim 22, further comprising a light collecting lens located within said chamber, between said material and said photodetector.

24. The optical probe of Claim 22, further comprising a light emitting diode located opposite said base, substantially aligned with said photodetector such that a substantial portion of light energy emitted from said light emitting diode is caused to be transmitted through the material and received at the photodetector.

25. An optical probe for measuring electromagnetic energy which has been attenuated by a material, comprising:

a base having a thickness, said base being positioned

adjacent said material;

an aperture in said base, said aperture leading to a chamber which extends substantially through the thickness of said base, said aperture and chamber being wide enough that a portion of said material may intrude into the chamber, thus minimizing perturbation of the material due to compression; and

a photodetector located within said chamber, separated from said portion of said material which may have intruded into said chamber, said photodetector receiving light energy transmitted through said material.

26. The optical probe of Claim 25, further comprising a light emitting diode located opposite said chamber, said diode causing light energy to be incident on said material.

27. An optical probe for use in non-invasive physiological measurements wherein energy is absorbed by a section of a patient's body, some portion of which is easily compressible comprising:

a base having curved sidewalls to form a semi-cylindrical shape, said body portion being placed adjacent said base such that said sidewalls curve generally around the body portion;

an aperture in said base, the aperture leading to a chamber, said chamber having a stabilizing segment directly adjacent said aperture, a transitional segment directly adjacent said stabilizing segment, and a mounting

segment directly adjacent said transitional segment, said stabilizing segment being generally cylindrical and having a stabilizing segment cross-sectional diameter, said mounting segment being generally cylindrical and having a mounting segment cross-sectional diameter, said mounting segment cross-sectional diameter being smaller than said stabilizing segment cross-sectional diameter, said transitional segment having a transitional segment cross-sectional diameter of varying length, varying between said stabilizing segment diameter and said mounting segment diameter; and

a photodetector located within said mounting segment.

28. The optical probe of Claim 27, further comprising a light emitting diode located generally opposite said photodetector, mounted proximate said body portion.

29. The optical probe of Claim 27, wherein a light collecting lens is held within said transitional segment of said chamber.

30. A method for acquiring a signal comprising the steps of:

transmitting energy into a material;

locating said material adjacent an aperture formed in a base wherein said aperture leads to a chamber, such that said base, aperture, and chamber cooperate to support said material in a manner that a portion of the material

immediately adjacent and covering said aperture remains substantially unperturbed; and

receiving an attenuated signal at a detector within said chamber, said detector being recessed from said material.

31. An energy sensor comprising:

a housing having a support surface for a material which is to be analyzed;

a first chamber having an entrance in the form of a first aperture positioned on said housing support surface such that a first portion of said material covers said aperture and is supported by said support surface around said aperture;

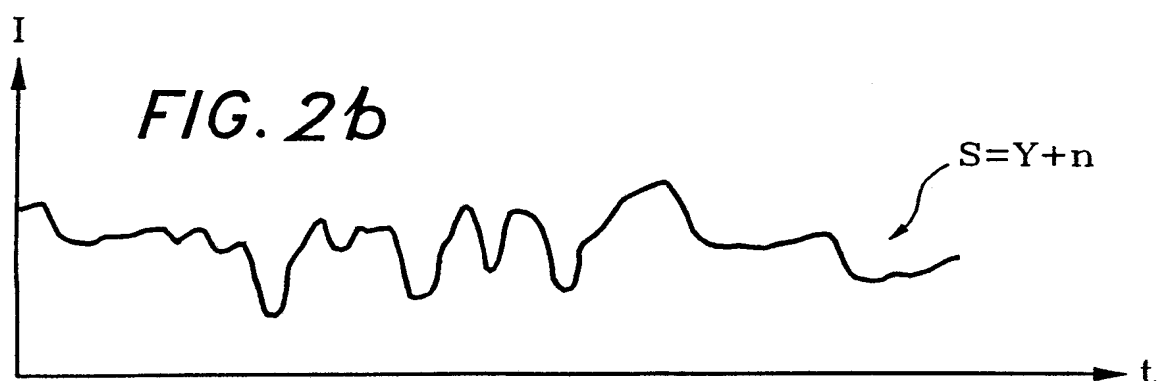
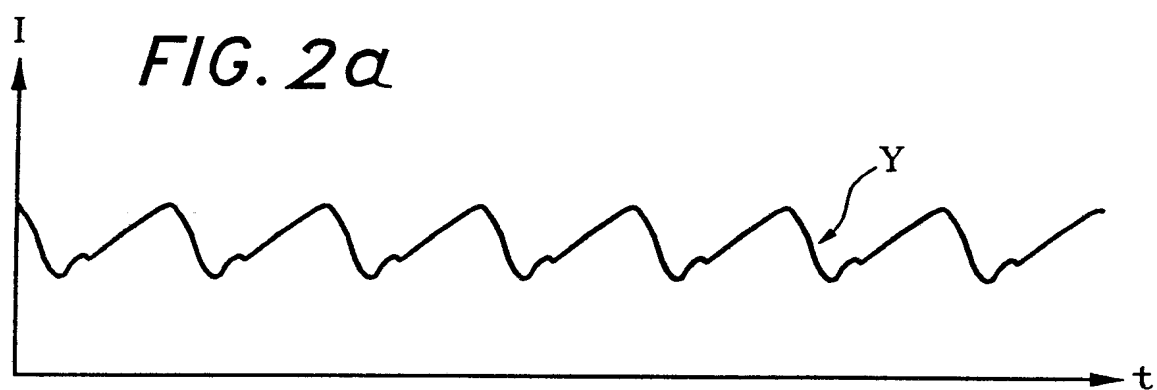
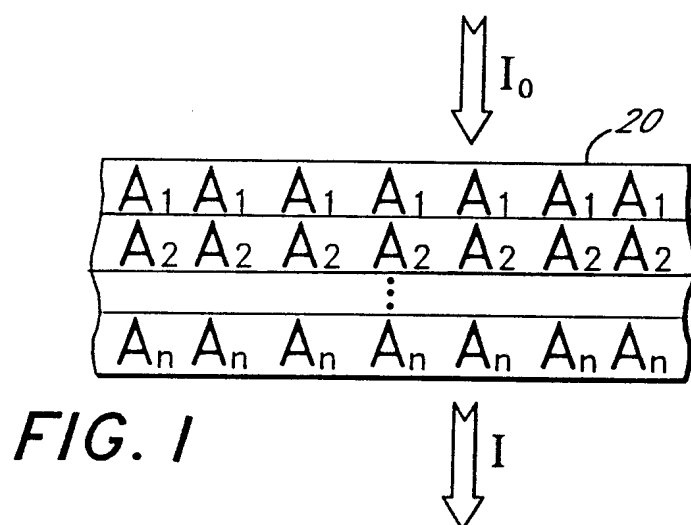
a second chamber having an entrance in the form of a second aperture positioned on said housing support surface such that a second portion of said material covers said second aperture and is supported by said support surface around said second aperture, said second aperture being located proximate said first aperture;

an energy source for transmitting energy through said first aperture into said material, said source being located in said first chamber positioned in a manner such that said first portion of said material which covers said first aperture is isolated from contact with any surface which could cause compression of said first portion of said material such that said first portion of said material remains substantially uncompressed; and

a detector for detecting energy through said second

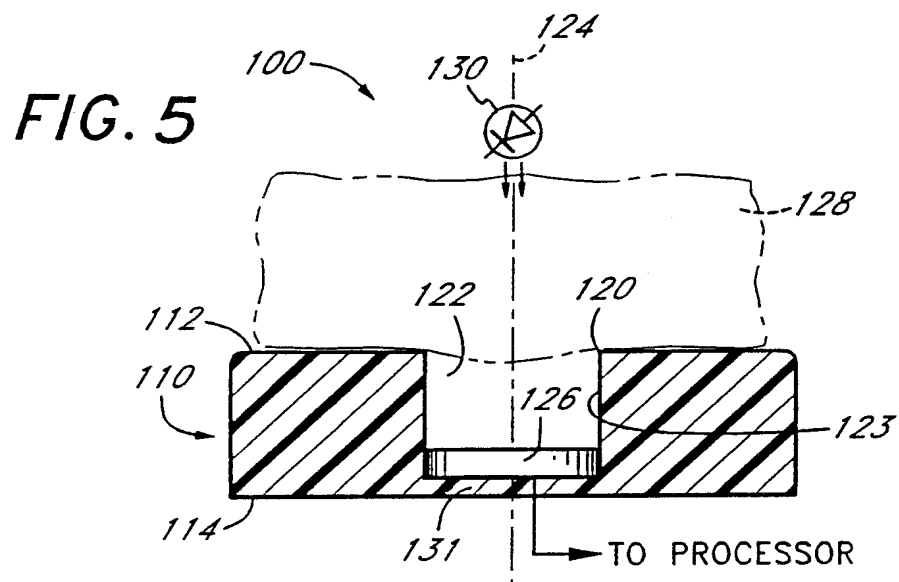
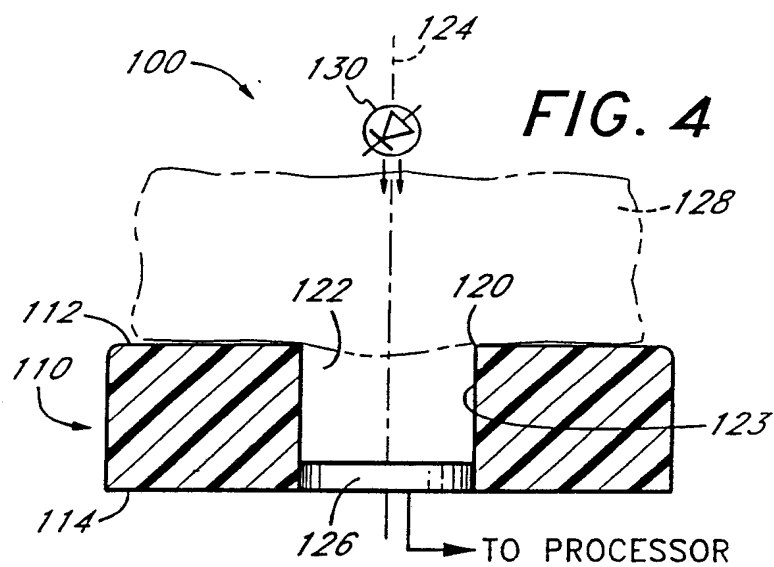
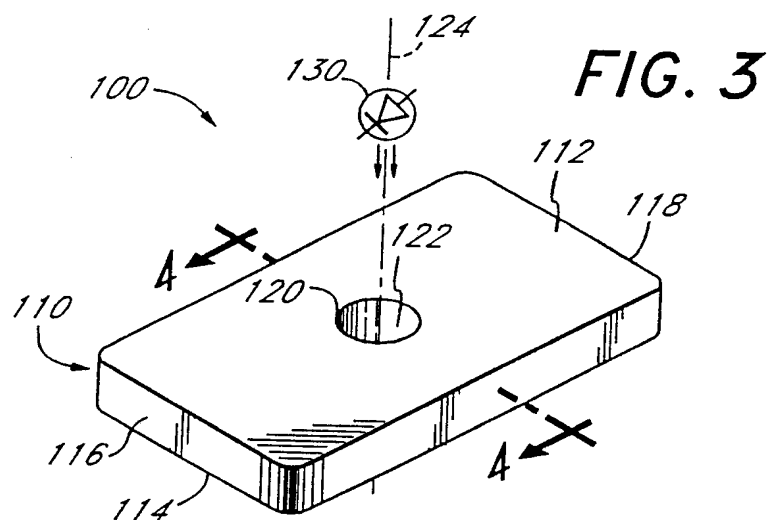
aperture, said energy having been reflected from said material, said detector located in said second chamber positioned in a manner such that said second portion of said material which covers said second aperture is isolated from contact with any surface which could cause compression of said second portion of said material such that said second portion of said material remains substantially uncompressed.

1 / 9





2 / 9



3/9

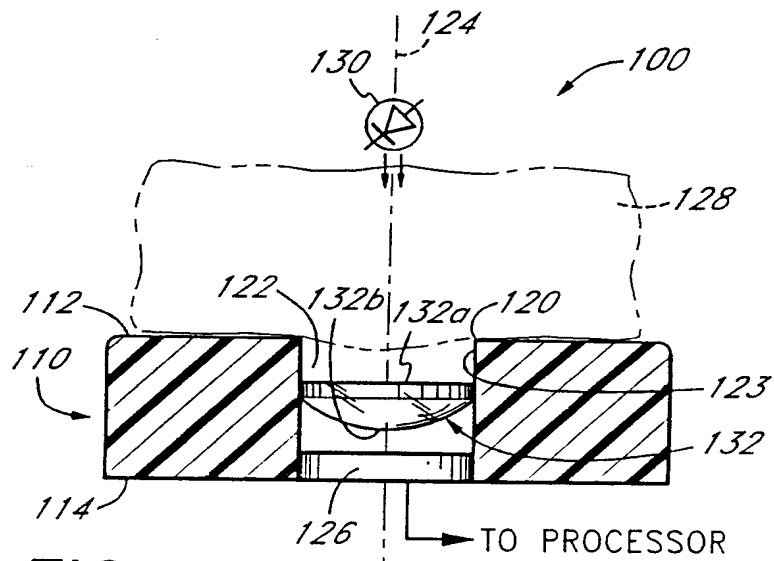


FIG. 6

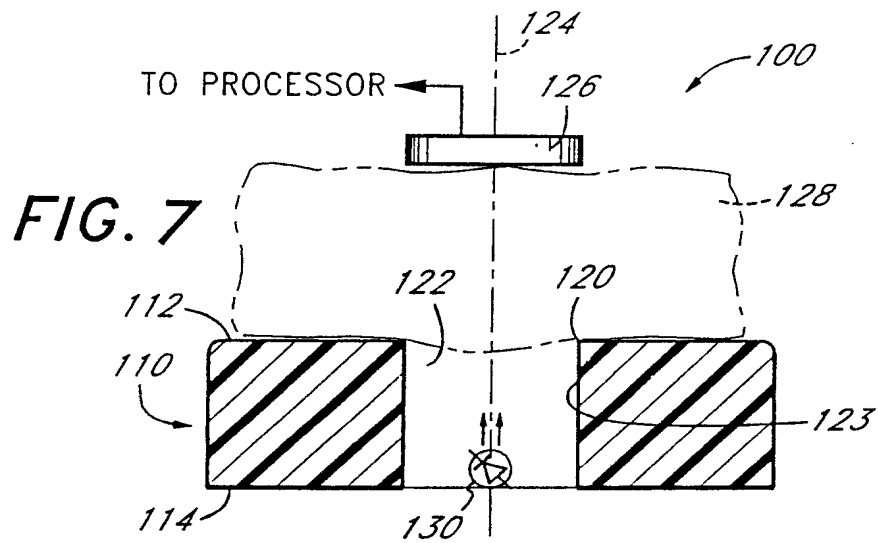


FIG. 7

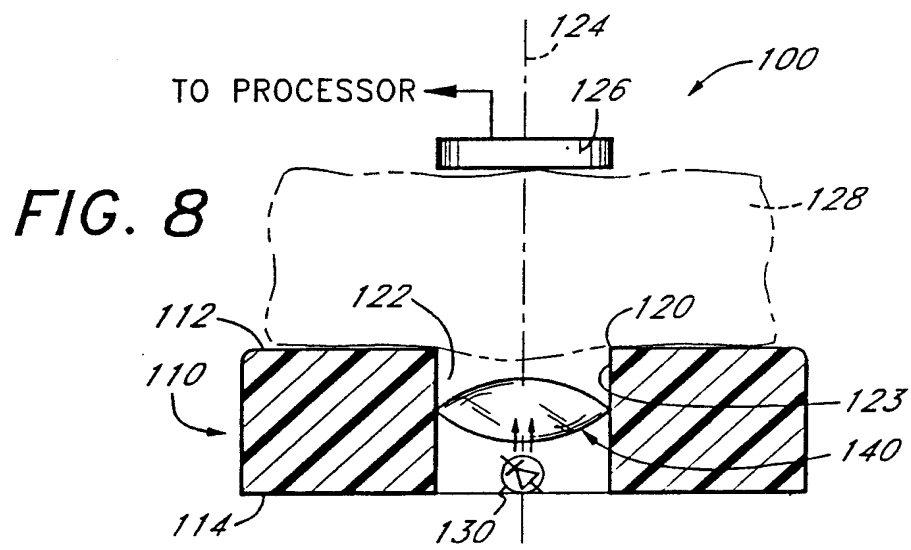


FIG. 8

FIG. 9<sup>4/19</sup>

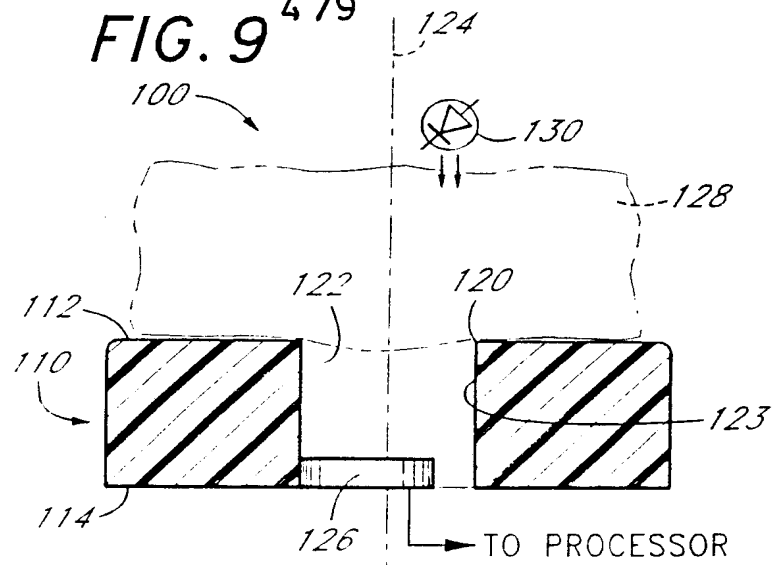


FIG. 10

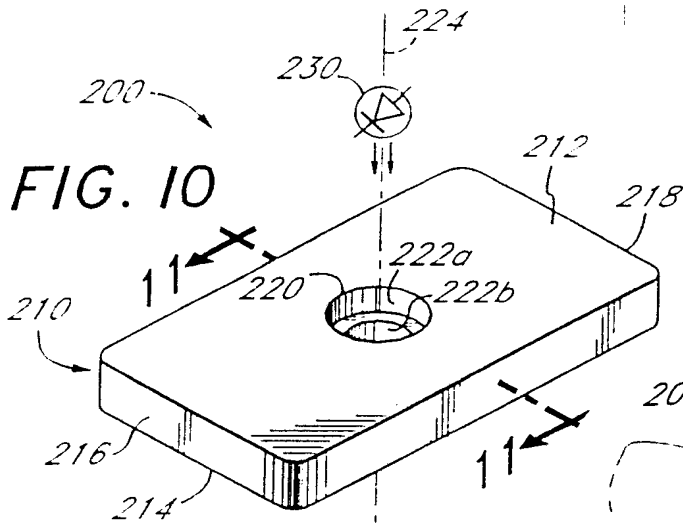


FIG. 11

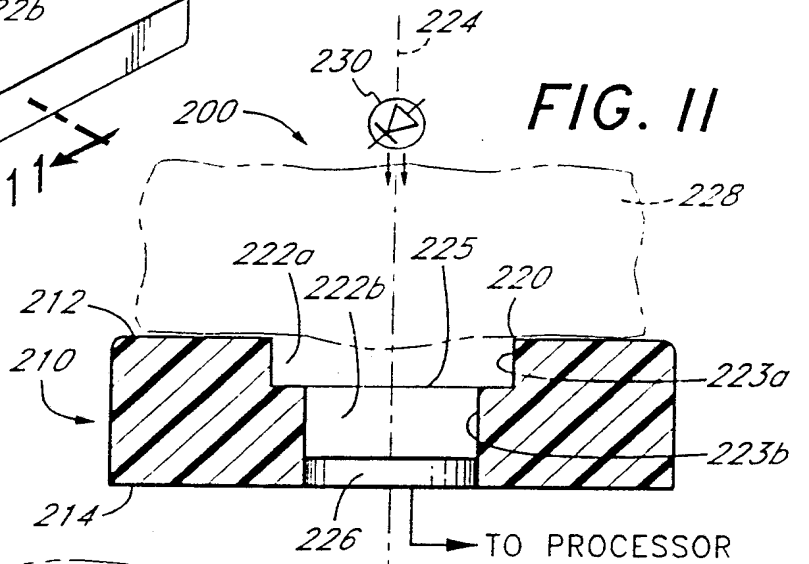
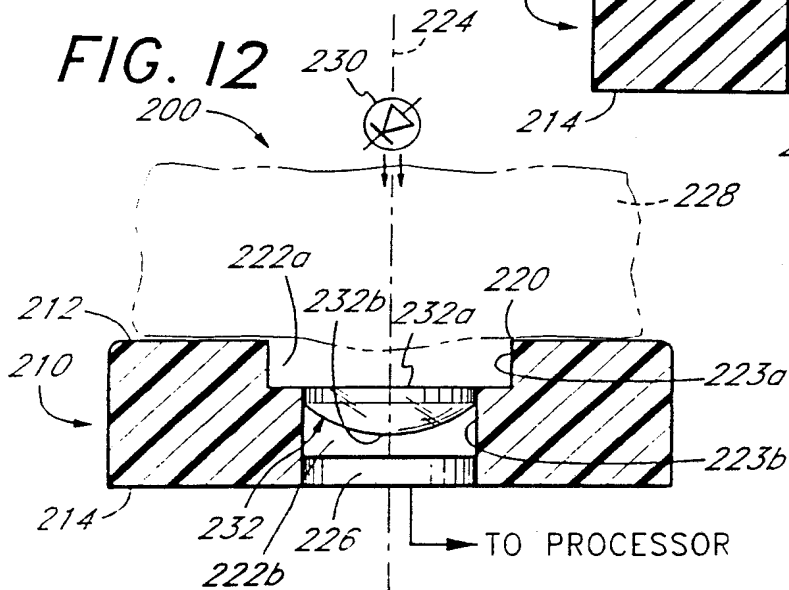
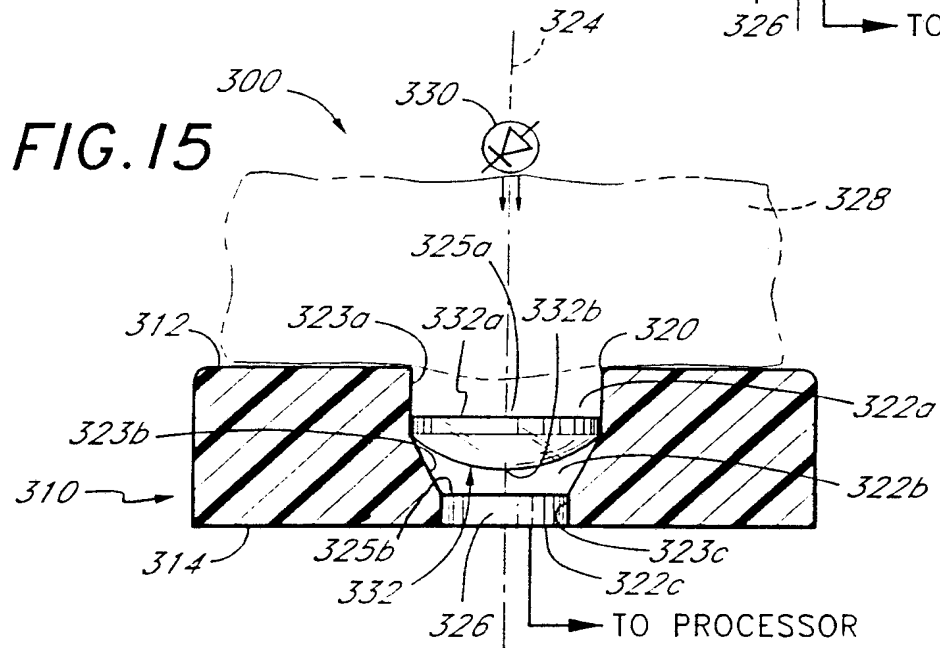
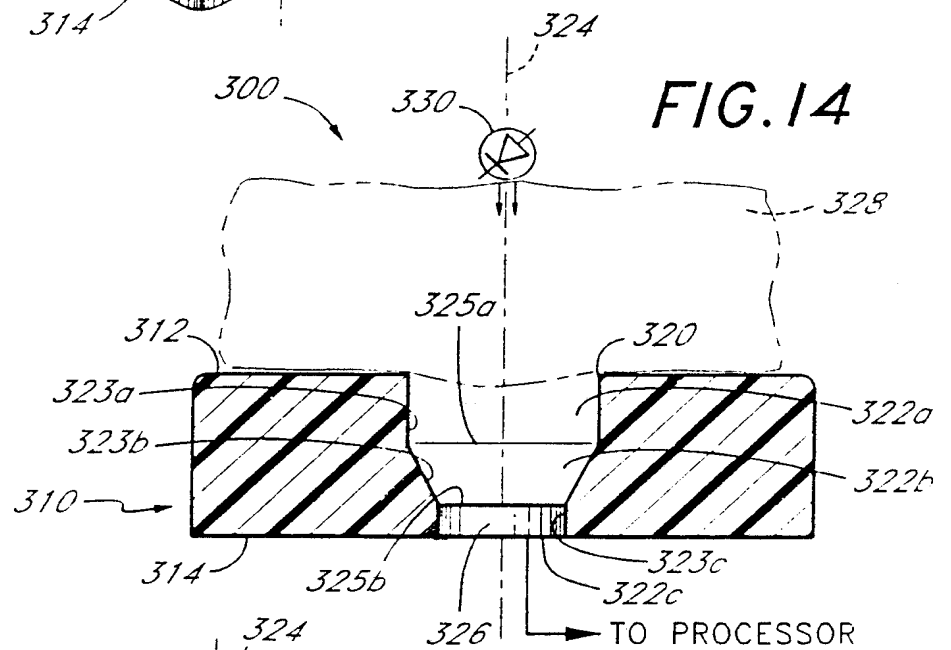
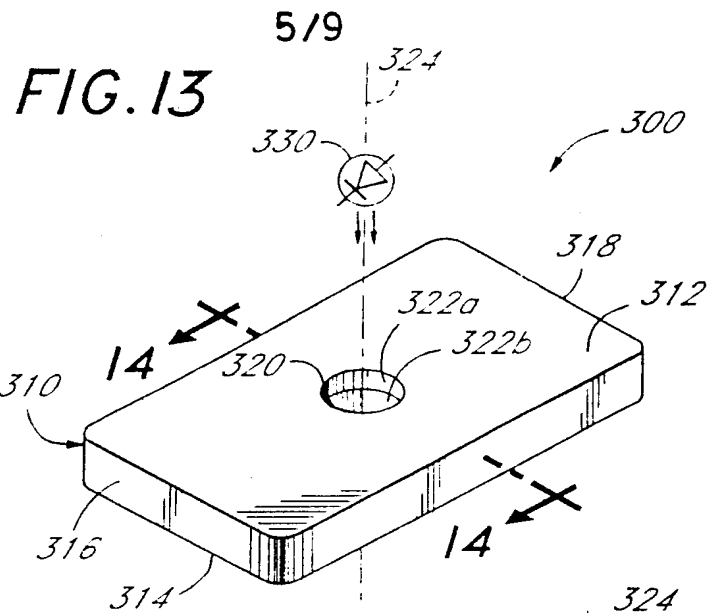
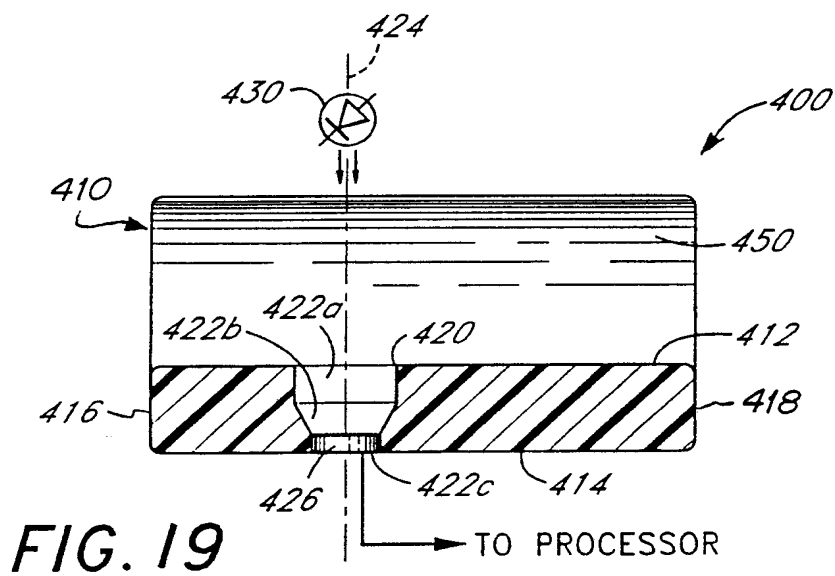
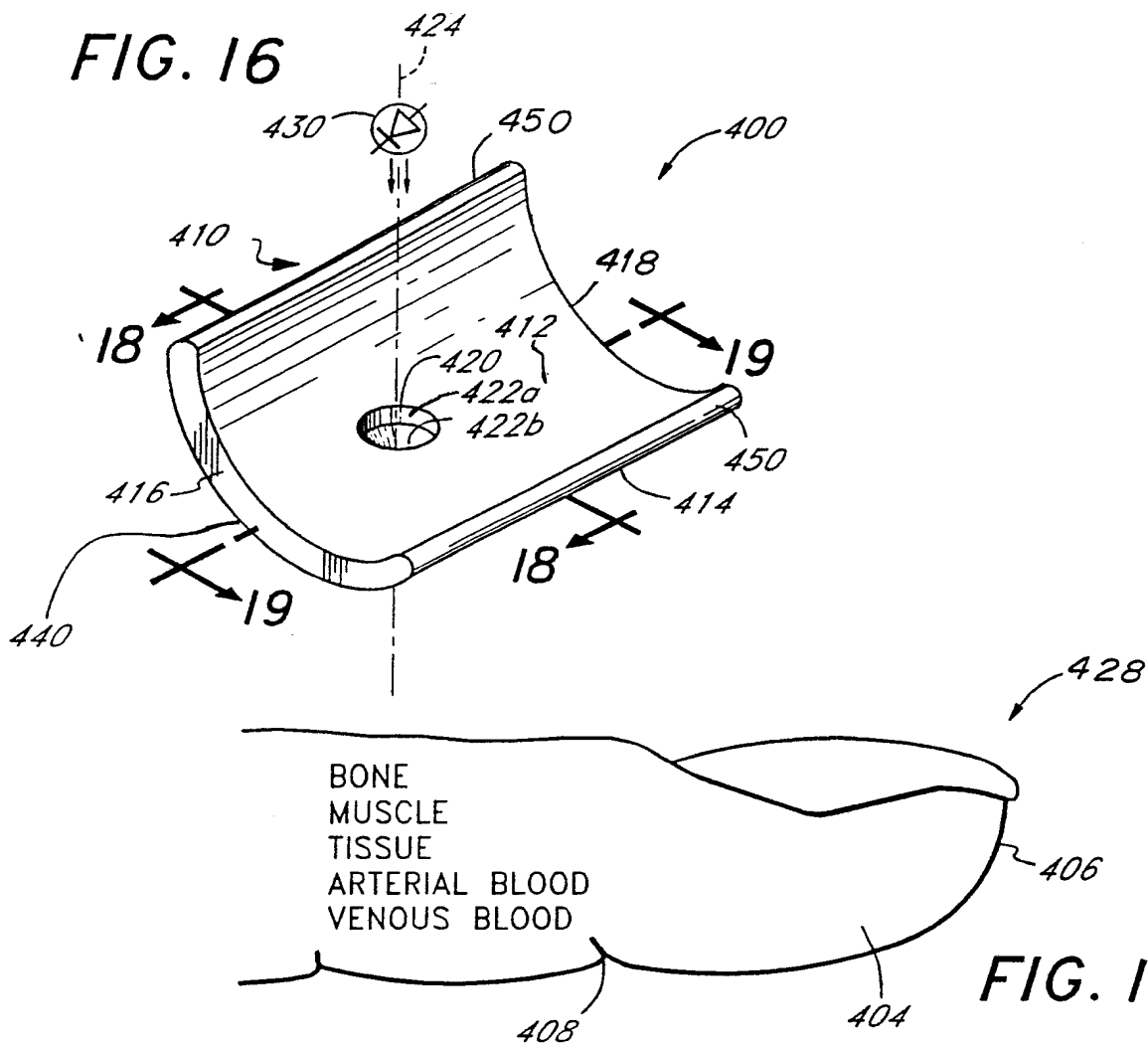


FIG. 12





6/9



7/9

FIG. 18

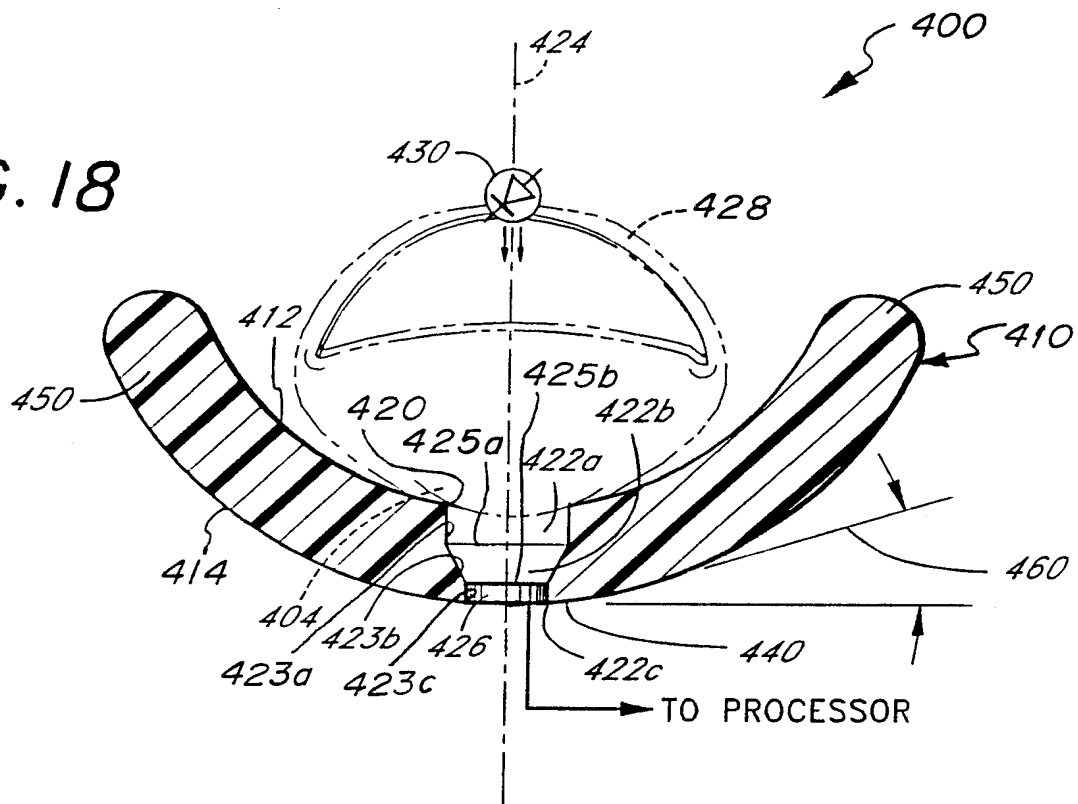
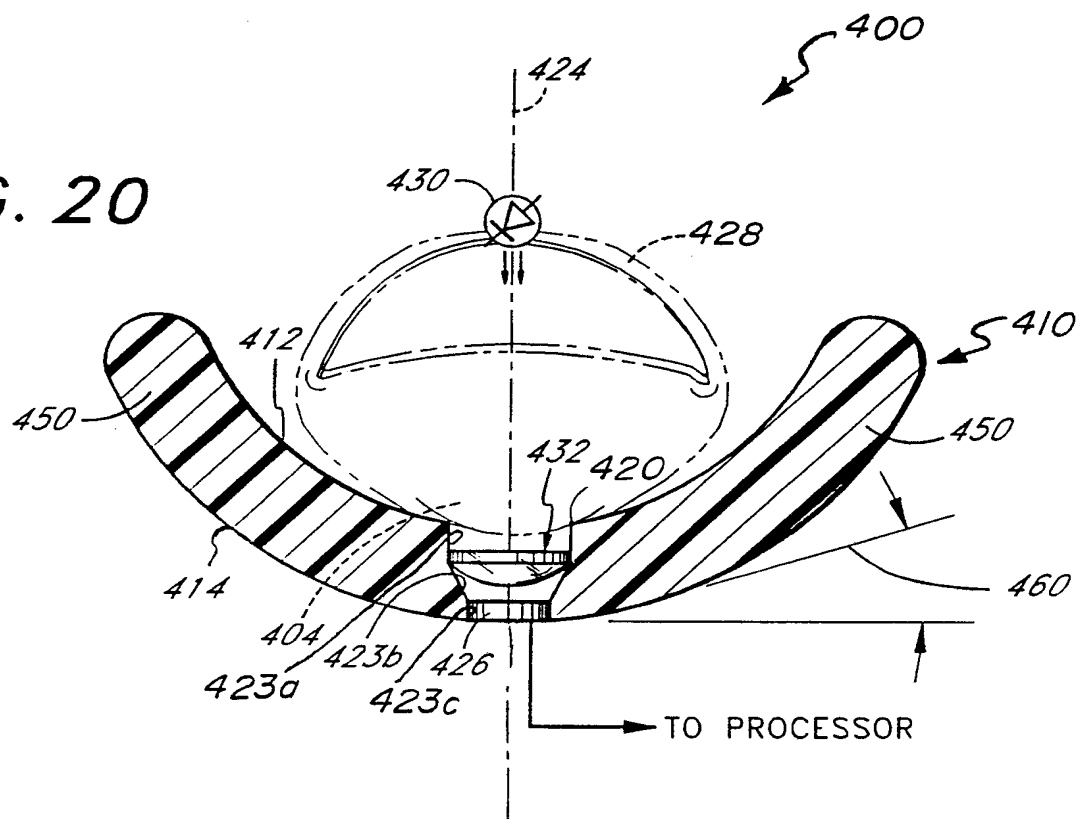
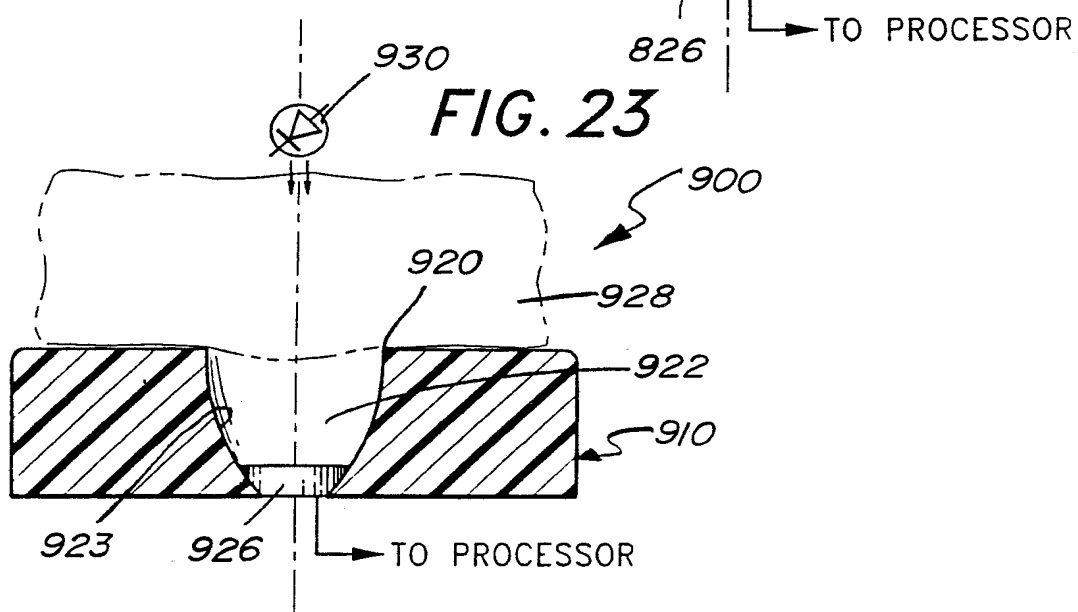
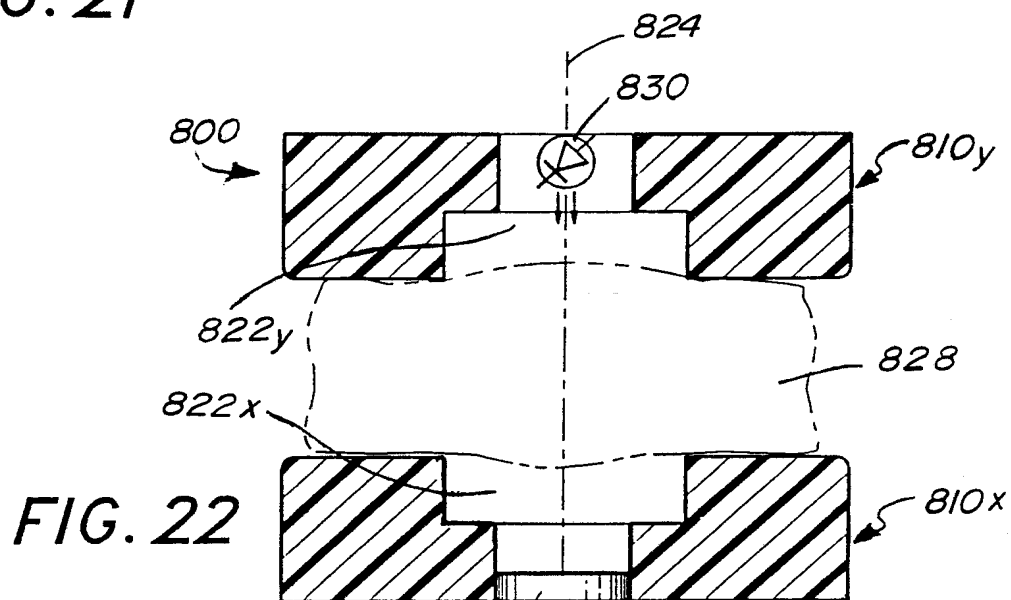
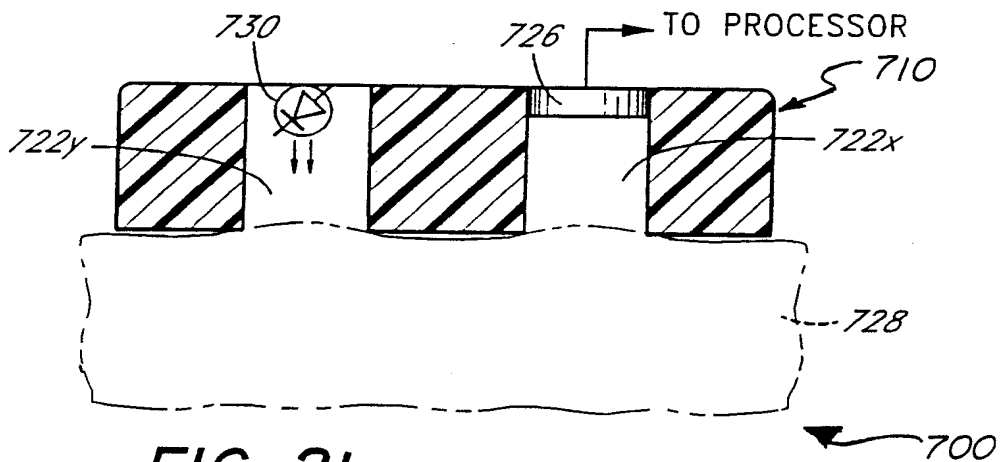


FIG. 20



8/9



9/9

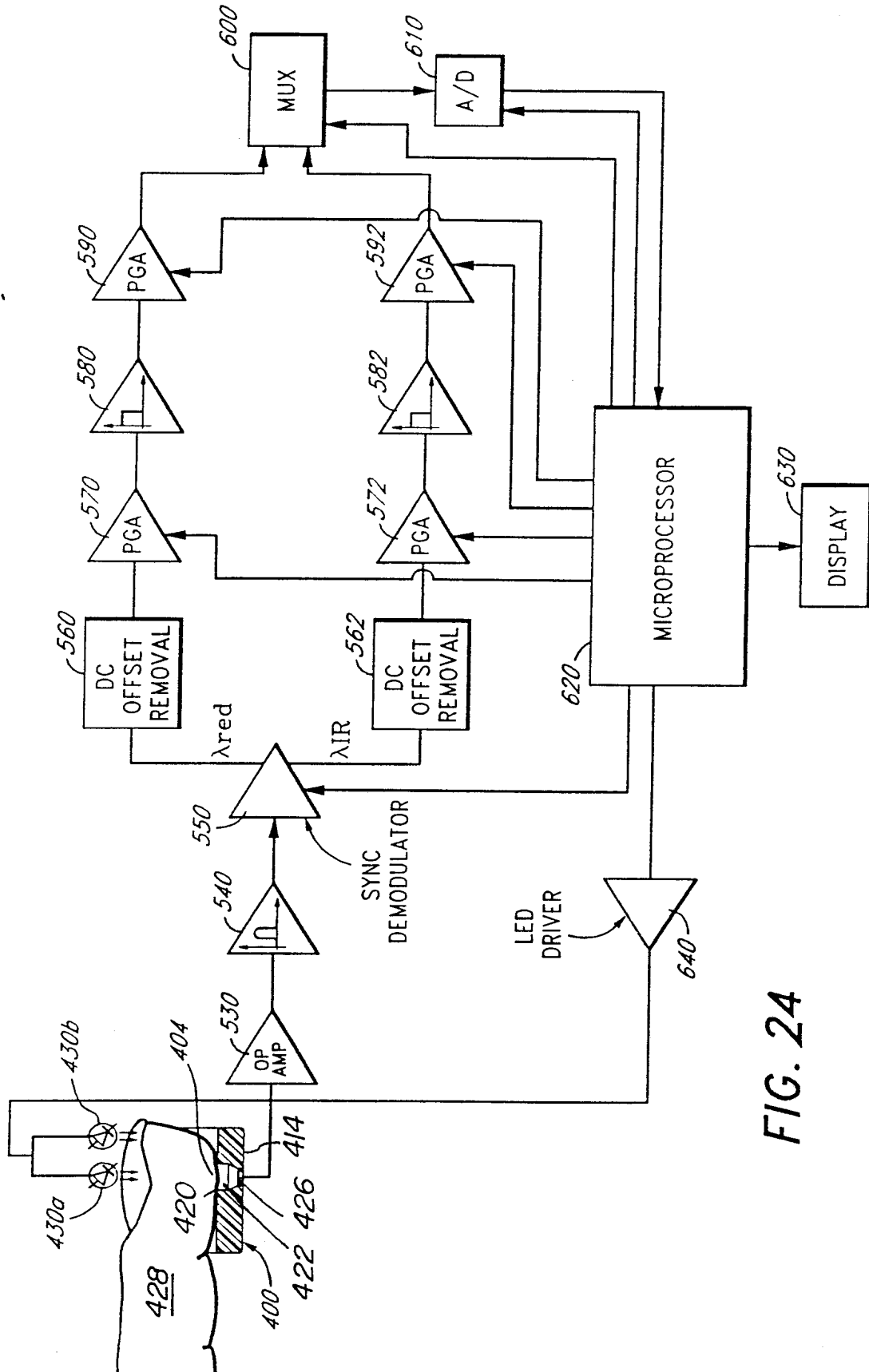


FIG. 24



# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US92/01894

|  |  |   |
|--|--|---|
| <b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup>  |  |   |
| According to International Patent Classification (IPC) or to both National Classification and IPC  |  |   |
| IPC(5): A61B 5/00 US Cl.: 128/633  |  |   |
| <b>II. FIELDS SEARCHED</b>   |  |   |
| Minimum Documentation Searched <sup>7</sup>  |  |   |
| Classification System  | Classification Symbols   |   |
| US Cl  | <div style="display: flex; justify-content: space-around;"> <div>633<br/>634<br/>128/664</div> <div>665<br/>666</div> </div> | 356/41  |
| Documentation Searched other than Minimum Documentation<br>to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>  |  |   |
| <b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>9</sup>   |  |   |
| Category *   | Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>               | Relevant to Claim No. <sup>13</sup>   |
| <u>X</u><br><u>Y</u>   | US,A, 4,621,643 (New Jr. et al) 11 November 1986<br>(See figure 3, col 5, lines 45-52.)                                      | 1,2,7-13,15,<br>16,25,26,30<br>14   |
| <u>X</u><br><u>Y</u>   | US,A, 3,103,214 (Smith) 10 April 1962<br>(See entire document)   | 18,19,21<br>20,31   |
| <u>X</u><br><u>Y</u>   | US,A, 4,334,544 ((Hill et al) 15 June 1982<br>(See figure 3)   | 1-5,7-13,<br>15-17, 25, 26<br>30<br>6,14,27-29,31   |
| <u>X</u><br><u>Y</u>   | US,A, 4,528,986 (Arundel et al) 16 July 1985<br>(See entire document)  | 18,19<br>20,21  |
| <u>X</u><br><u>Y</u>   | US,A, 4,685,464 (Goldberger et al) 11 August 1987<br>(See entire document)   | 22,24<br>23, 27-29  |
| Y, P   | US,A, 5,086,229 (Rosenthal et al) 4 February 1992<br>(See figure 2A)   | 14, 20,23,29  |
| Y  | US,A, 4,380,240 (Jobsis et al) 19 April 1983<br>(See entire document)  | 6   |
| <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>* Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div> |  |   |
| <b>IV. CERTIFICATION</b>   |  |   |
| Date of the Actual Completion of the International Search  |  | Date of Mailing of this International Search Report   |
| 28 June 1992   |  | 17 JUL 1992   |
| International Searching Authority  |  | Signature of Authorized Officer   |
| ISA/US   |  | <div style="display: flex; align-items: center;"> <div style="text-align: center;"> <p>NGUYEN NGOC-HO</p> <p>INTERNATIONAL DIVISION</p> <p>Robert L. Nasser Jr.</p> </div> <div style="margin-left: 20px;"> </div> </div> |